

Theory of parton distribution functions

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- **Introduction: coefficient functions, partons distributions and α_s**
- **From low scale structure-function data to LHC predictions**
- **Higher-order parton evolution, with flavour and small- x effects**
- **Practical evolution: codes and benchmarks. Heavy quarks**
- **Recent parton fits, open issues and outlook: W -mass at the LHC**

* Freely using results obtained with Sven Moch (DESY) and Jos Vermaseren (NIKHEF)

Parton distributions in collider physics

Search for **Higgs Boson, new particles** : highest possible energies

⇒ $p\bar{p}/pp$ colliders: **Tevatron (2 TeV), LHC (14 TeV)**

Parton distributions in collider physics

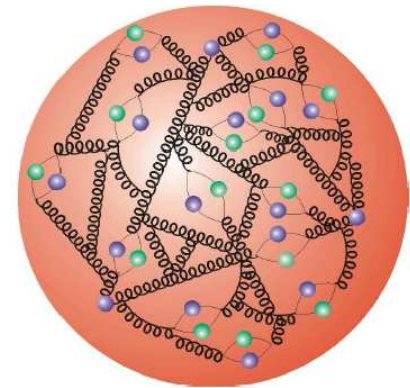
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Proton: very complicated multi-particle bound state

"The good, the bad, and the baryon"

Colliders: wide-band beams of quarks and gluons



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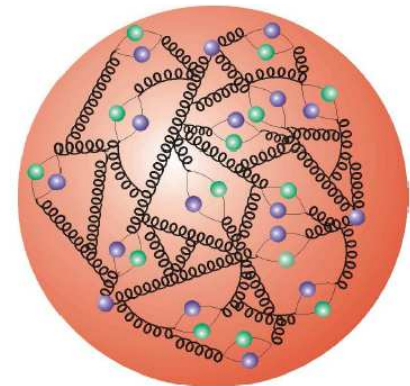
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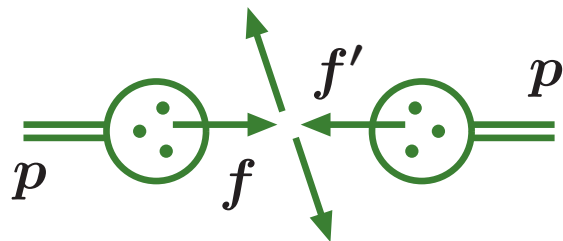
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$$\sigma^{pp} = \sum f^p * f'^p * \hat{\sigma}^{ff'}$$



Hard interactions of protons:

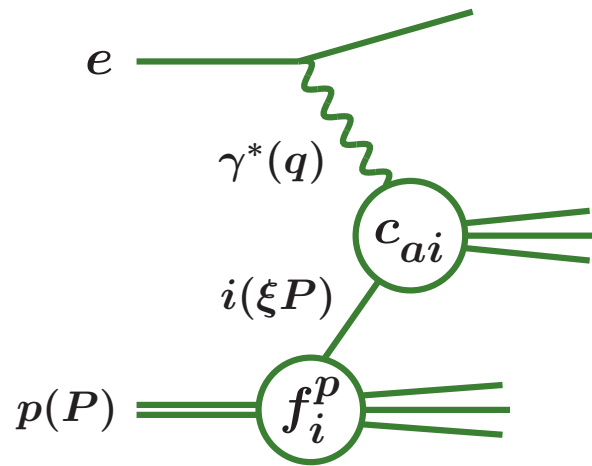
parton (q, g) distributions f^p

partonic cross sections $\hat{\sigma}^{ff'}$

⇒ **Lepton-proton scattering: SLAC ep , CERN μp , νN , HERA, ...**

Parton densities and hard processes in pQCD

Example: inclusive photon-exchange deep-inelastic scattering (DIS)



Hard scale, Bjorken variable x

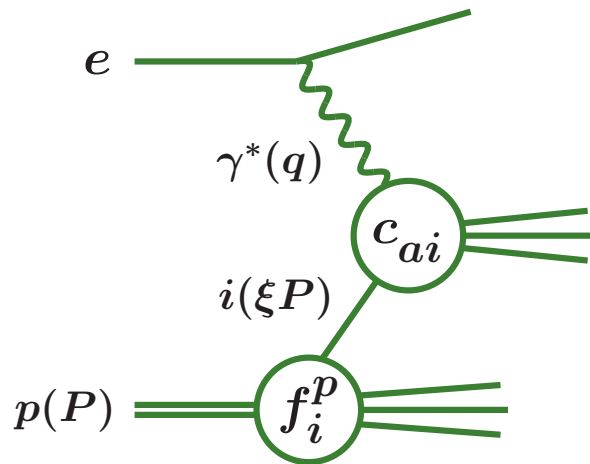
$$Q^2 = -q^2$$

$$x = Q^2 / (2P \cdot q)$$

$\mathcal{O}(\alpha_s^0)$: quarks, $x = \xi$ ($m=0$)

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Structure functions $F_{2,L}$ (at leading twist of operator-product exp.)

$$x^{-1} F_a^p(x, Q^2) = \sum_i \int_x^1 \frac{d\xi}{\xi} c_{a,i} \left(\frac{x}{\xi}, \alpha_s(\mu^2), \frac{\mu^2}{Q^2} \right) f_i^p(\xi, \mu^2)$$

Coefficient functions: scheme, scale $\mu = \mathcal{O}(Q)$, Mellin convolutions

$1/Q^2$ corrections ('higher twists'): extract or suppress by data cuts

Parton densities and hard processes in pQCD

Parton distributions f_i : renormalization-group evolution equations

$$\frac{d}{d \ln \mu^2} f_i(\xi, \mu^2) = \sum_k [P_{ik}(\alpha_s(\mu^2)) \otimes f_k(\mu^2)](\xi)$$

\otimes = Mellin convolution. Initial conditions incalculable in pert. QCD

\Rightarrow predictions: fits of suitable reference processes, universality

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Expansions in α_s : splitting functions P , coefficient functions C_a

$$P = \alpha_s P^{(0)} + \alpha_s^2 P^{(1)} + \alpha_s^3 P^{(2)} + \dots$$
$$c_a = \underbrace{\alpha_s^{n_a}}_{\text{LO}} \left[c_a^{(0)} + \alpha_s c_a^{(1)} + \alpha_s^2 c_a^{(2)} + \dots \right]$$

LO: approximate shape, rough estimate of rate

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$$c_a = \underbrace{\alpha_s^{n_a} \left[c_a^{(0)} + \alpha_s c_a^{(1)} \right]}_{\text{NLO}} + \alpha_s^2 c_a^{(2)} + \dots$$

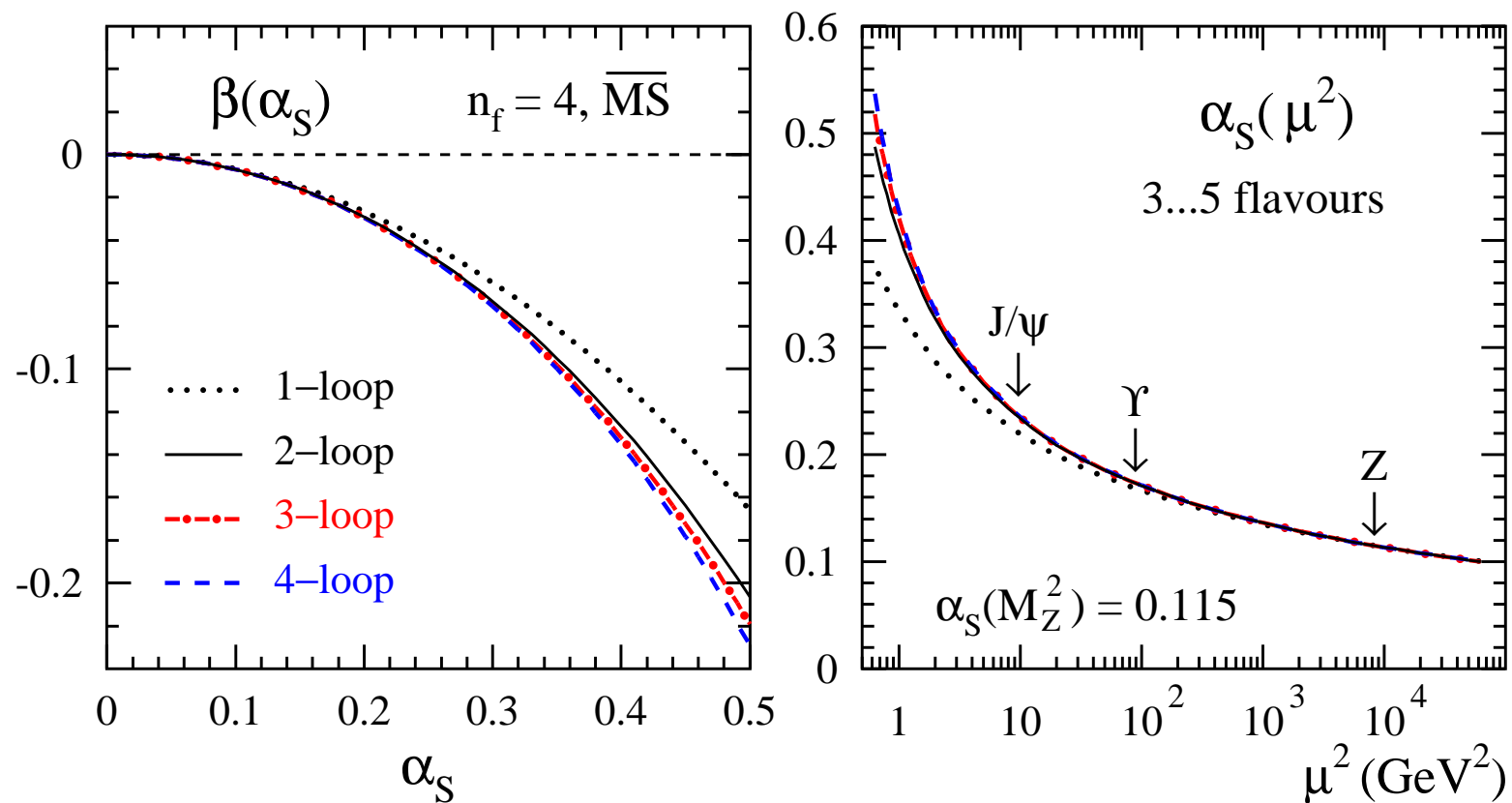
NLO: first real prediction of size of cross sections

NNLO, $P^{(2)}$, $c_a^{(2)}$: first serious error estimate \Rightarrow precision physics

The running coupling in perturbative QCD

$$d\alpha_s/d \ln \mu^2 = -\beta_0 \alpha_s^2 - \beta_1 \alpha_s^3 - \beta_2 \alpha_s^4 - \beta_3 \alpha_s^5 - \dots$$

N³LO coefficient β_3 : van Ritbergen, Vermaseren, Larin (97); Czakon (04)

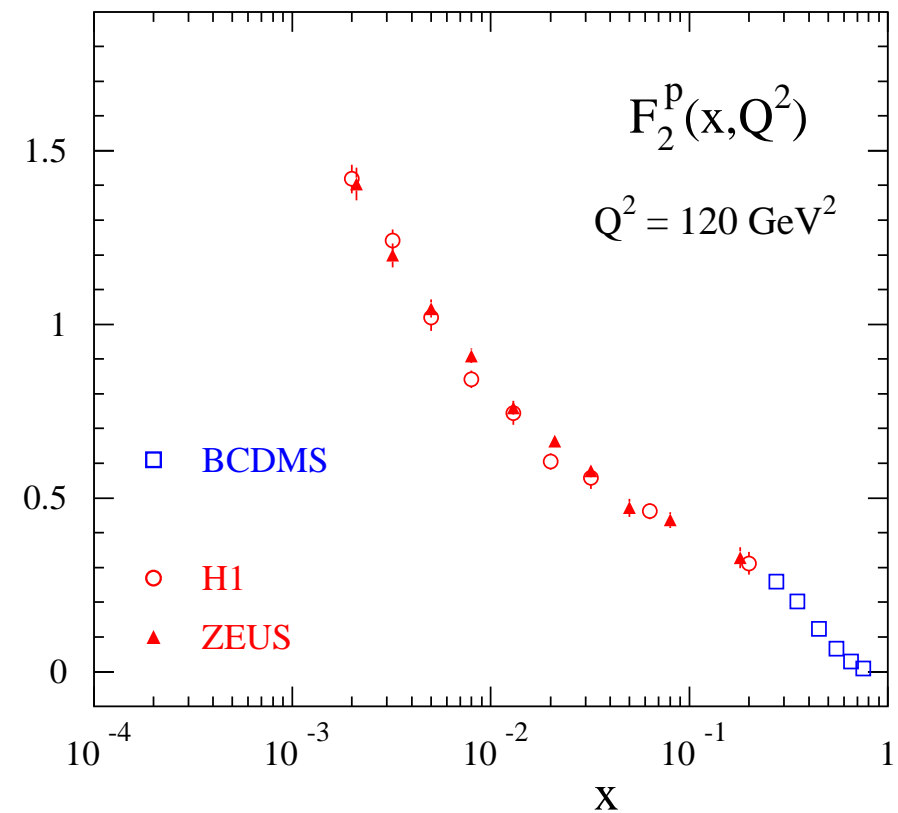
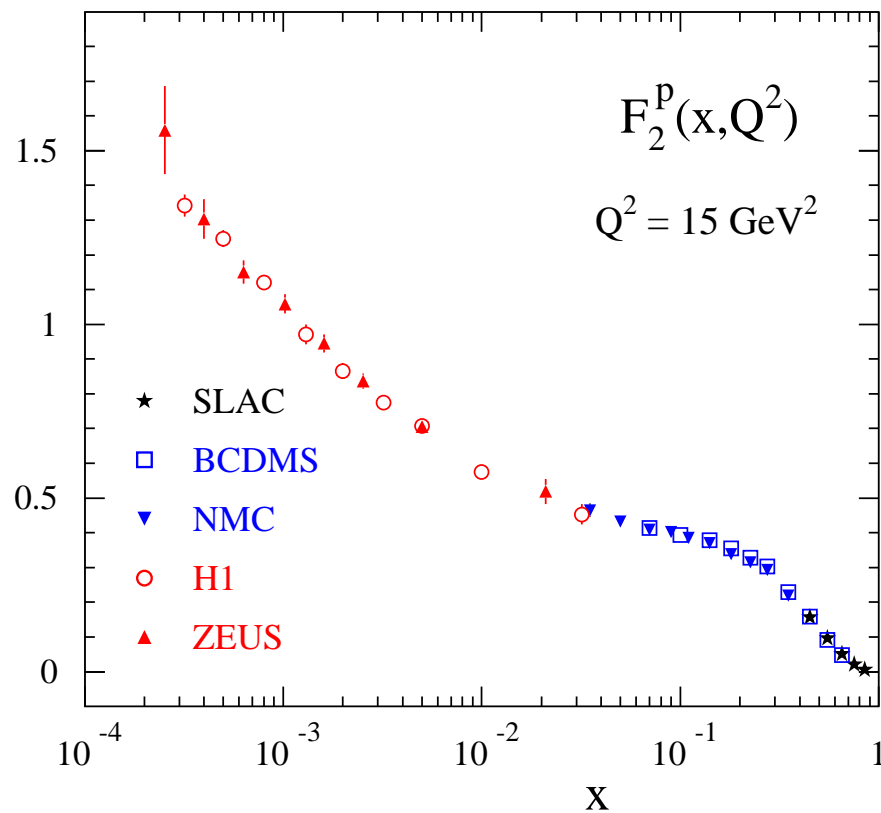


Perturbatively stable at $Q^2 > 1 \text{ GeV}^2$. Boundary condition: exp. (+ lattice)

From structure functions to parton densities

Exp.: SLAC (e , 30 GeV), CERN (μ , 300 GeV), DESY (e^\pm , 30×800 GeV)

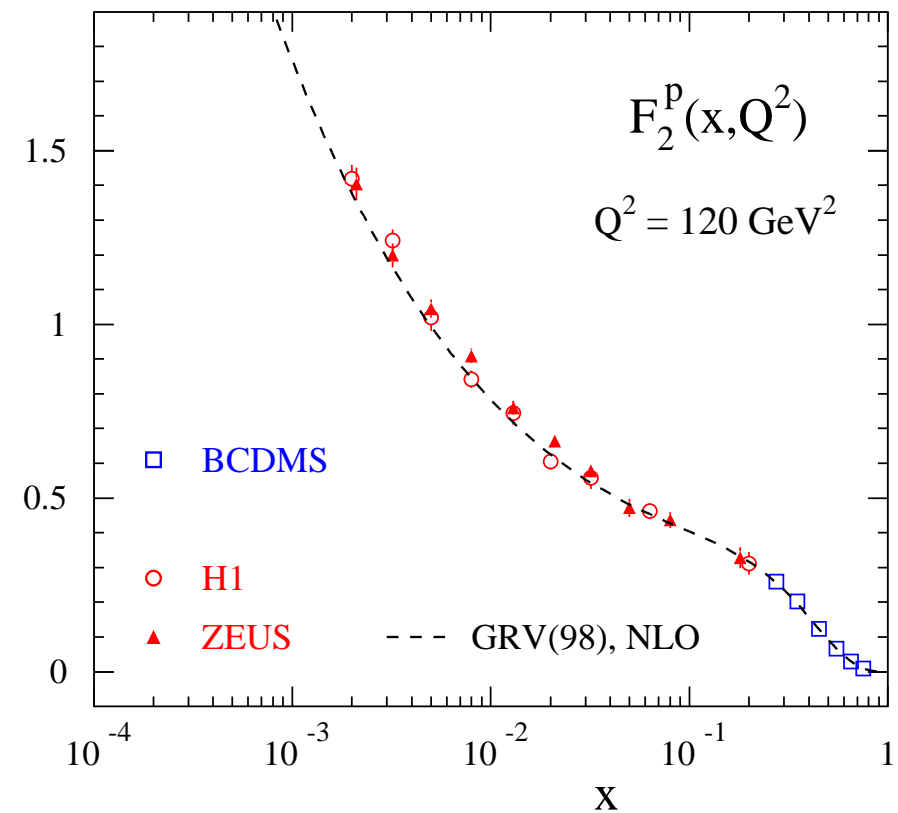
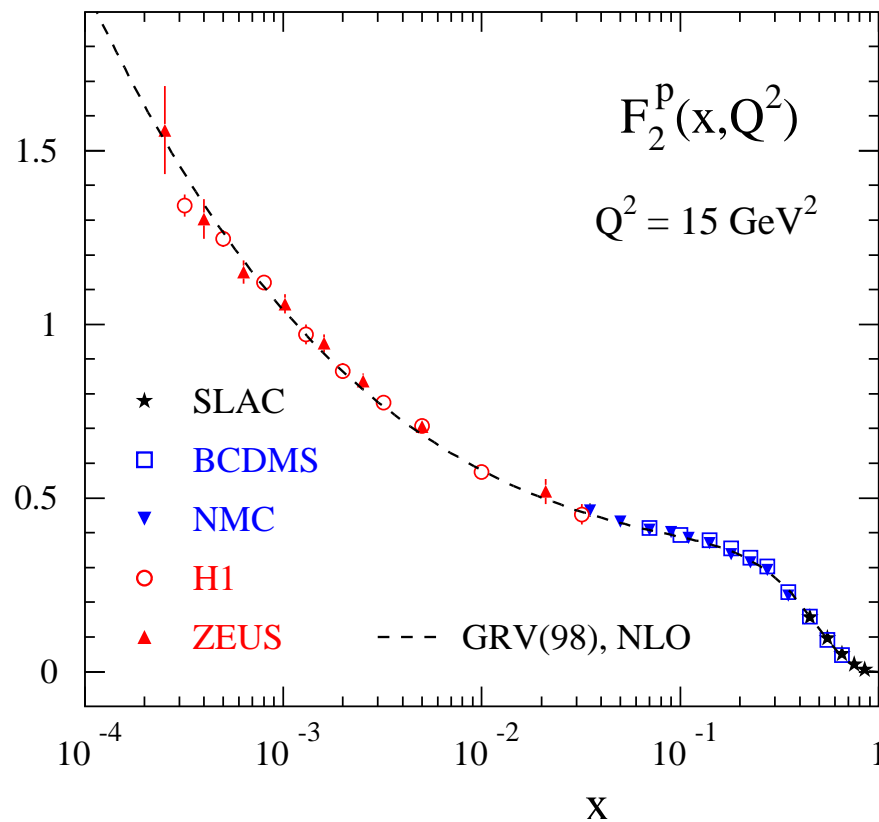
Selected data on the proton structure function F_2



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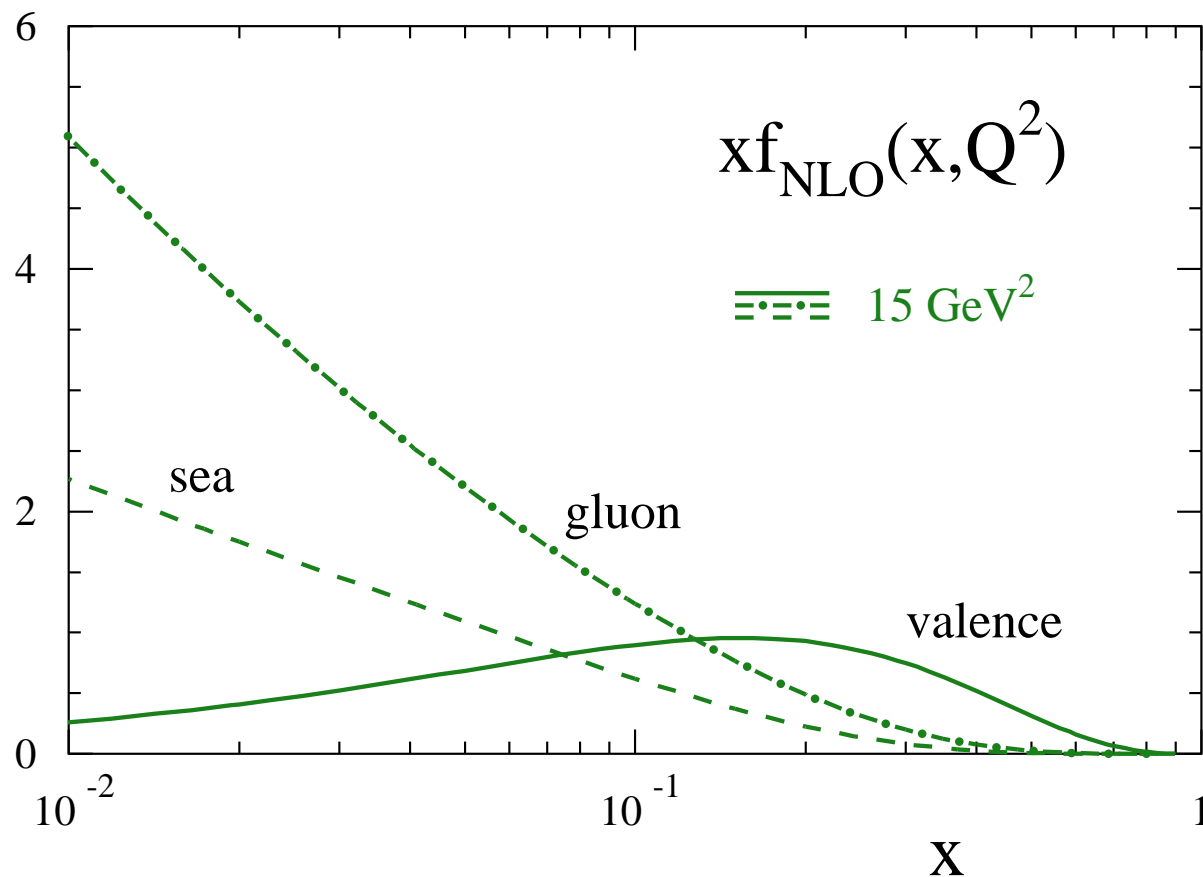


$F_2 \rightarrow$ quark distributions, scale dependence \rightarrow gluon distribution

The proton's parton densities, qualitatively

Valence: $q - \bar{q} \leftrightarrow$ additive quantum numbers. Quark sea: $q = \bar{q}$ parts

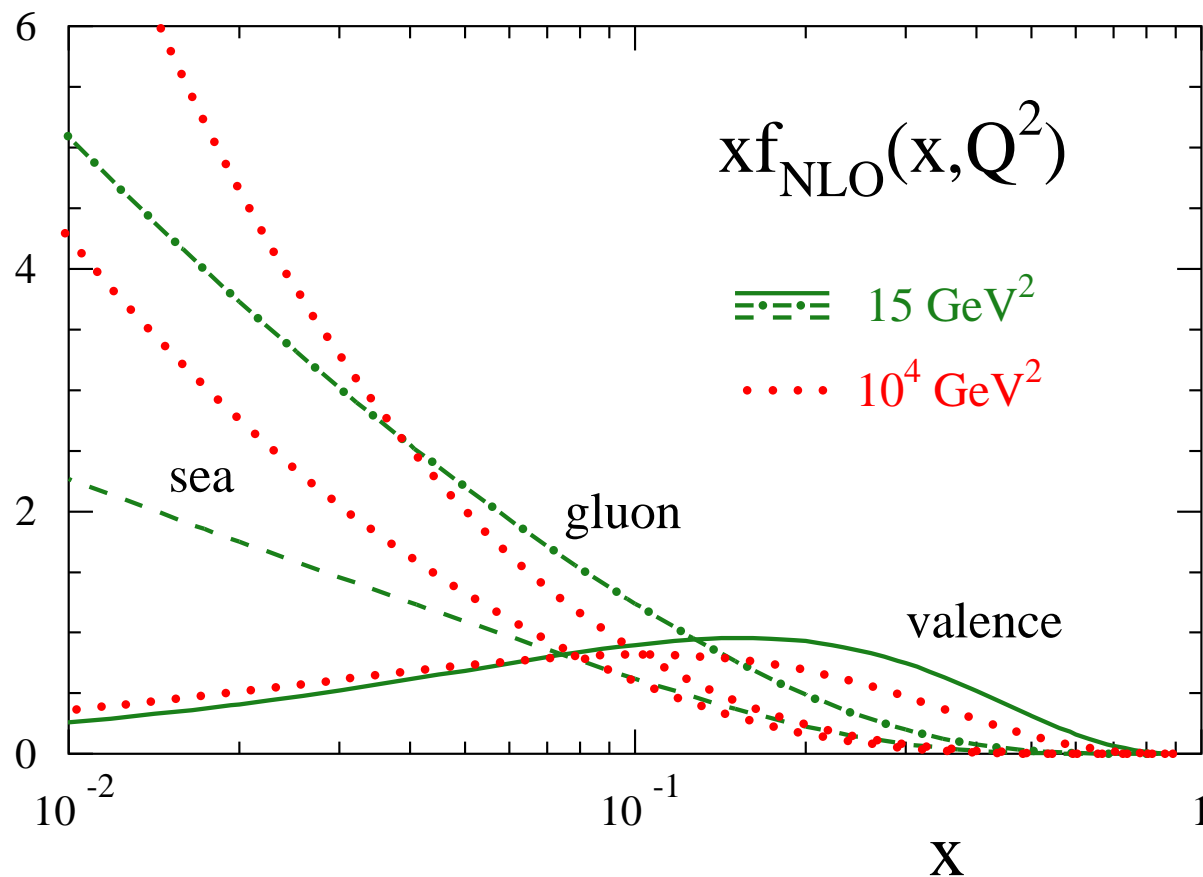
Large x ($\stackrel{\text{now}}{\equiv} \xi$): valence \gg glue \gg sea. Small x : glue $>$ sea \gg valence



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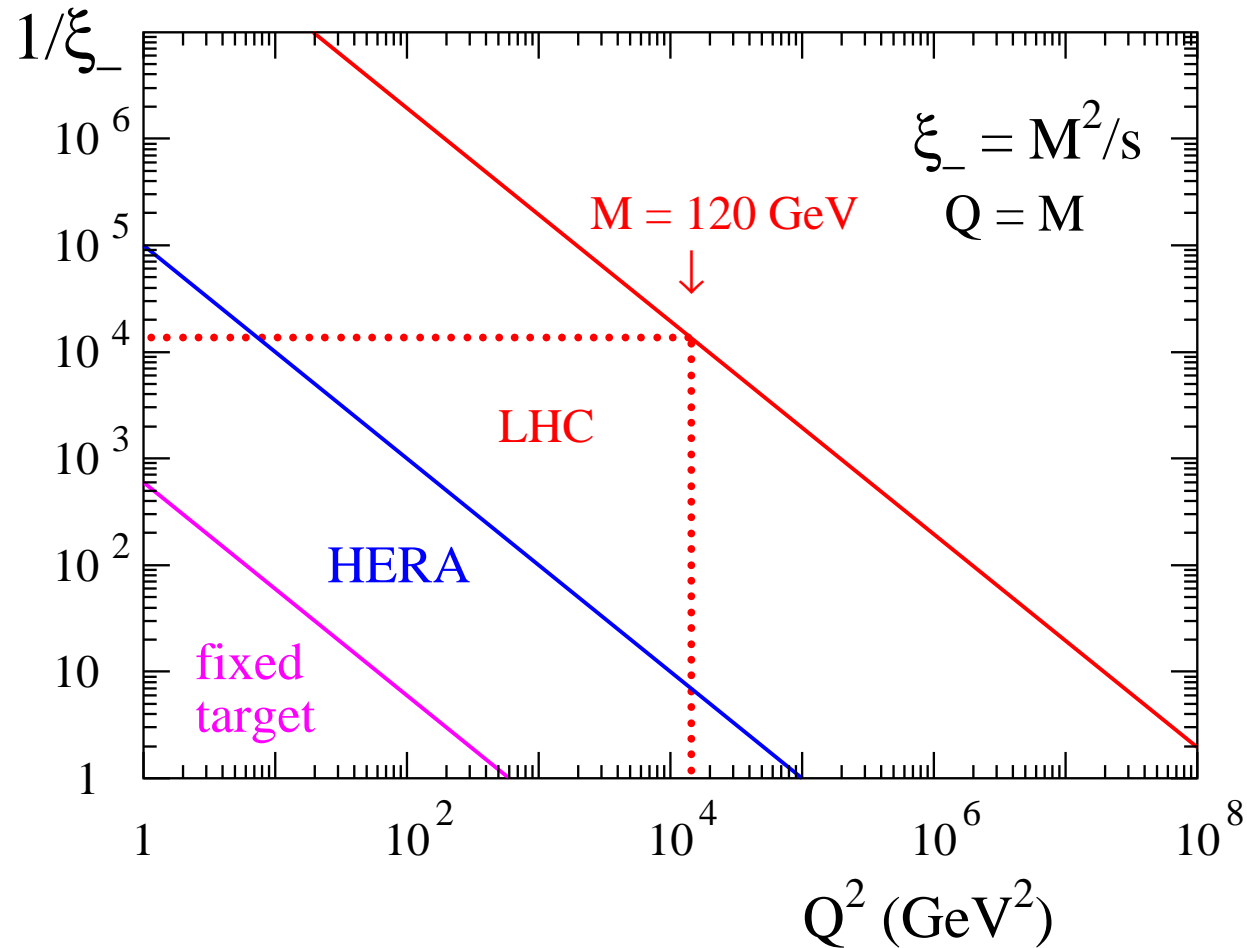
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Scale dependence calculable : perturbative evolution equations

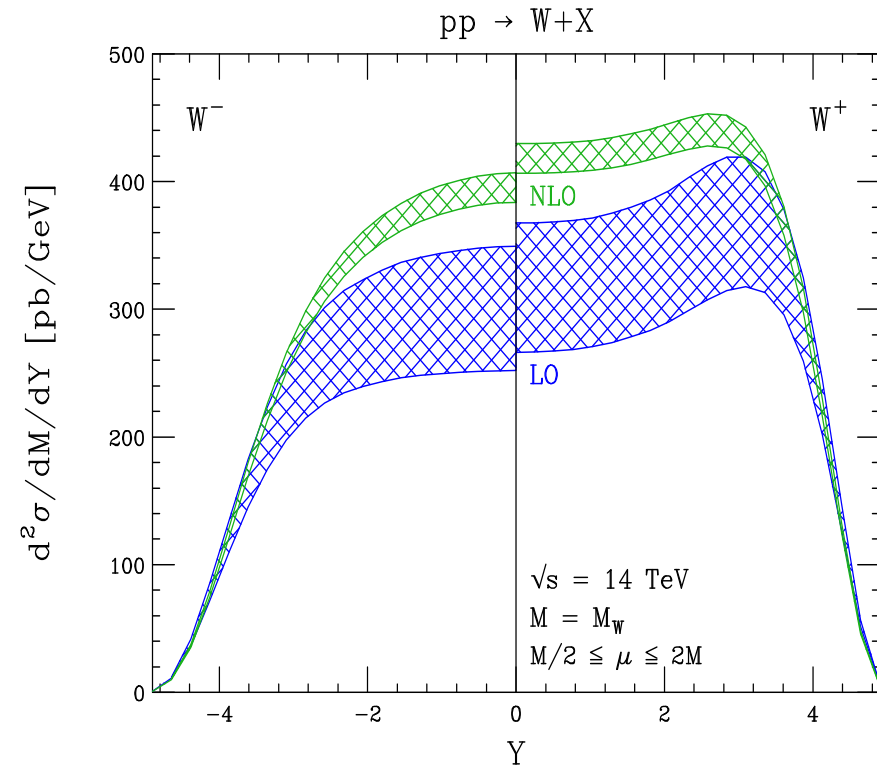
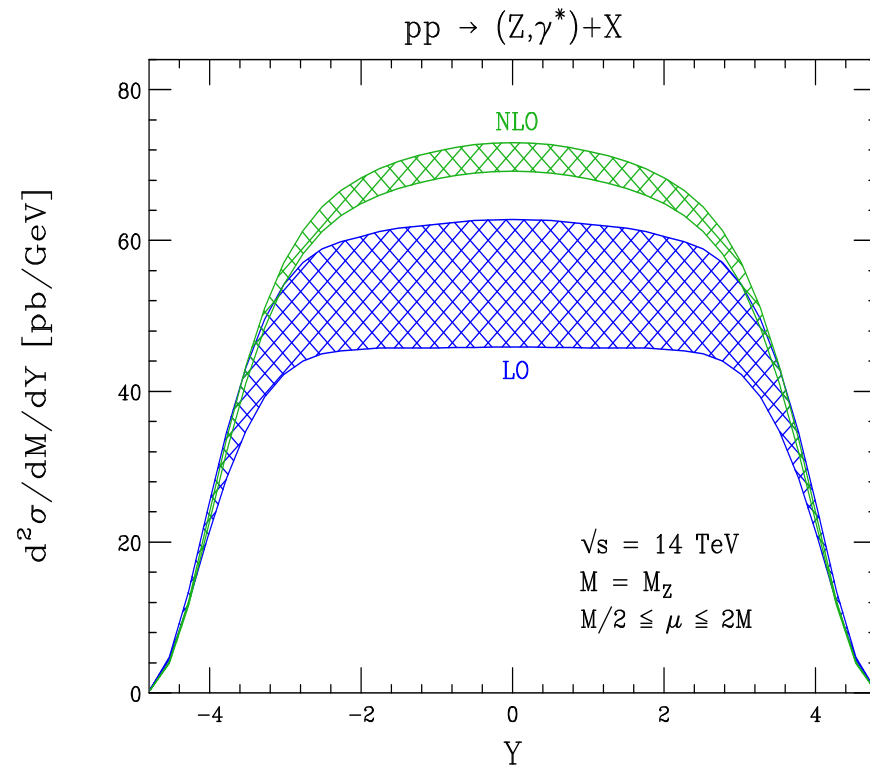
Parton evolution from HERA to LHC

Kinematics: partons with momentum fractions $\xi_- < \xi < 1$ contribute

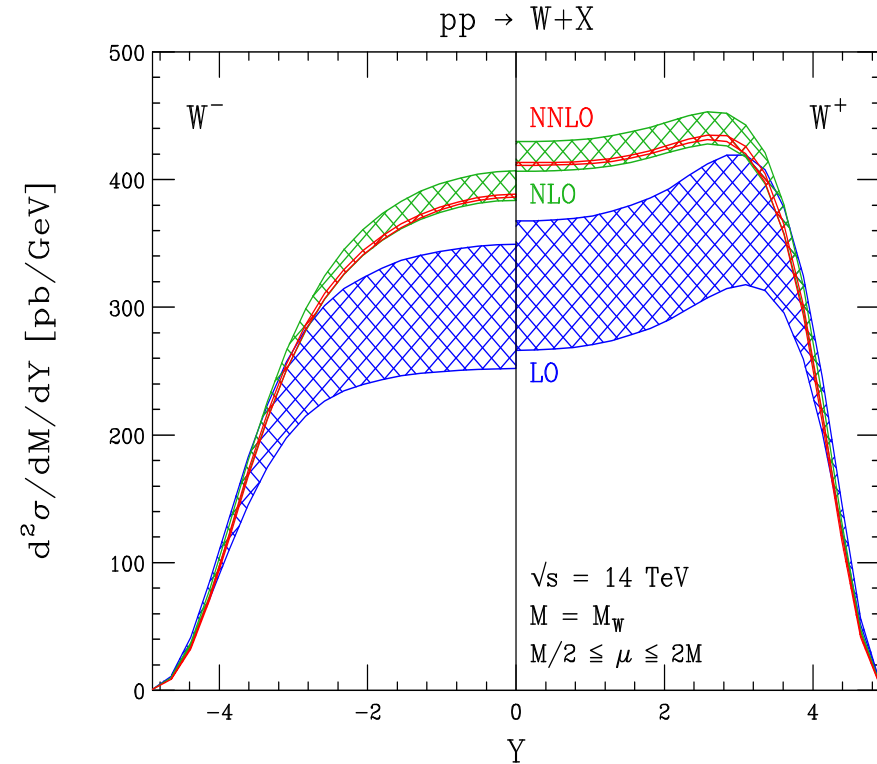
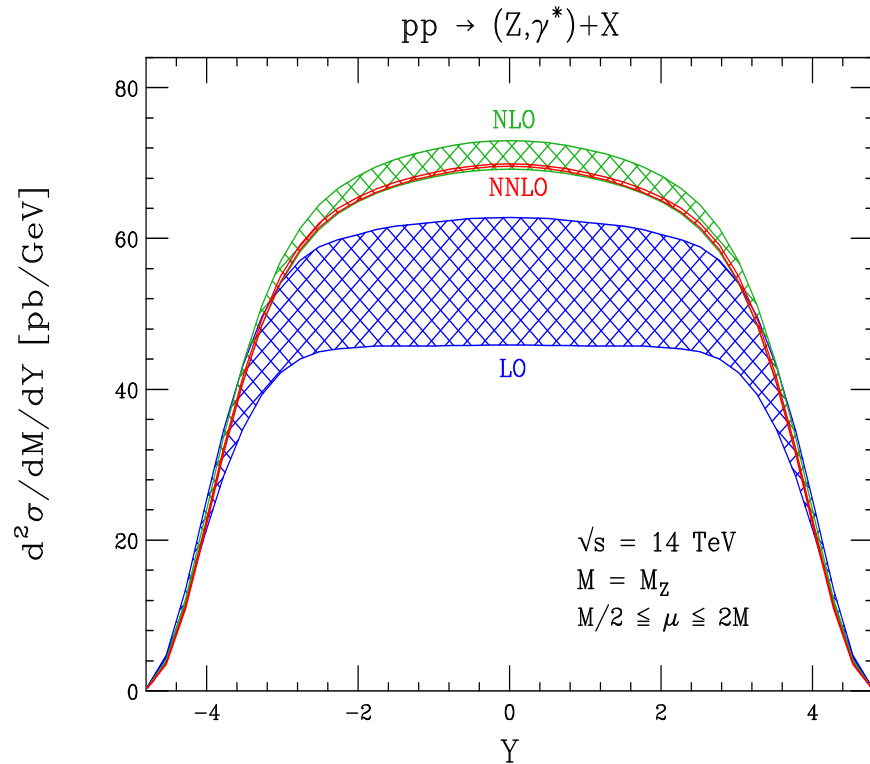


$W/Z, H, \text{top, new phys.}: \xi_- \gtrsim 10^{-4}, \text{ can cut DIS at } Q^2 \approx 10 \text{ GeV}^2$

Gauge boson production at the LHC



Gauge boson production at the LHC



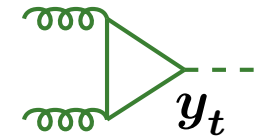
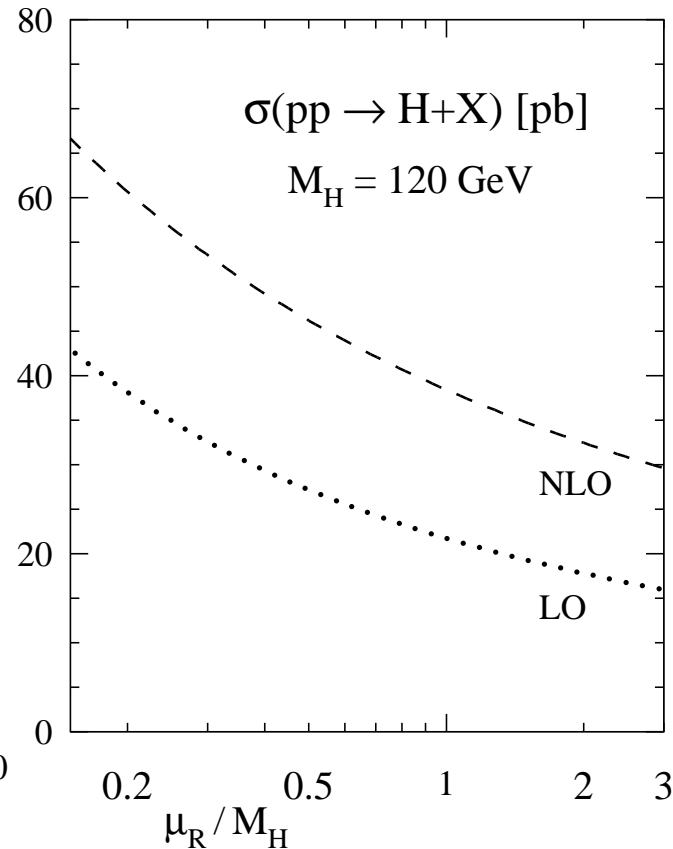
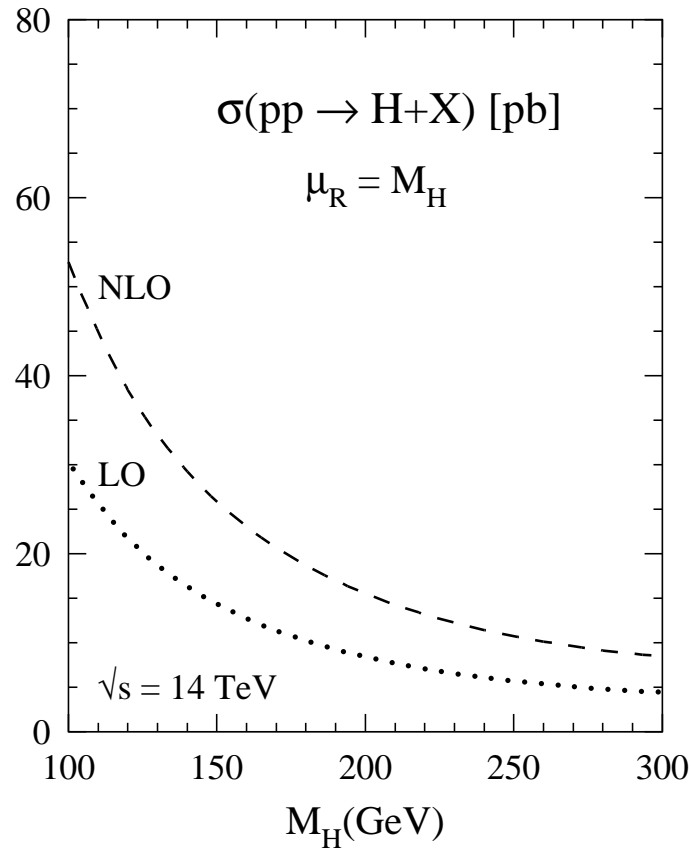
Rapidity-dependent $\hat{\sigma}_{\text{NNLO}}$: Anastasiou, Dixon, Melnikov, Petriello (03)

‘Gold-plated’ processes: NNLO perturbative accuracy better than 1%

⇒ check/improve high-scale parton densities (fixed in plots above)

Disclaimer (I): much lower accuracy possible

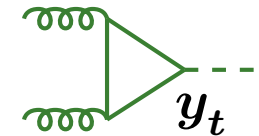
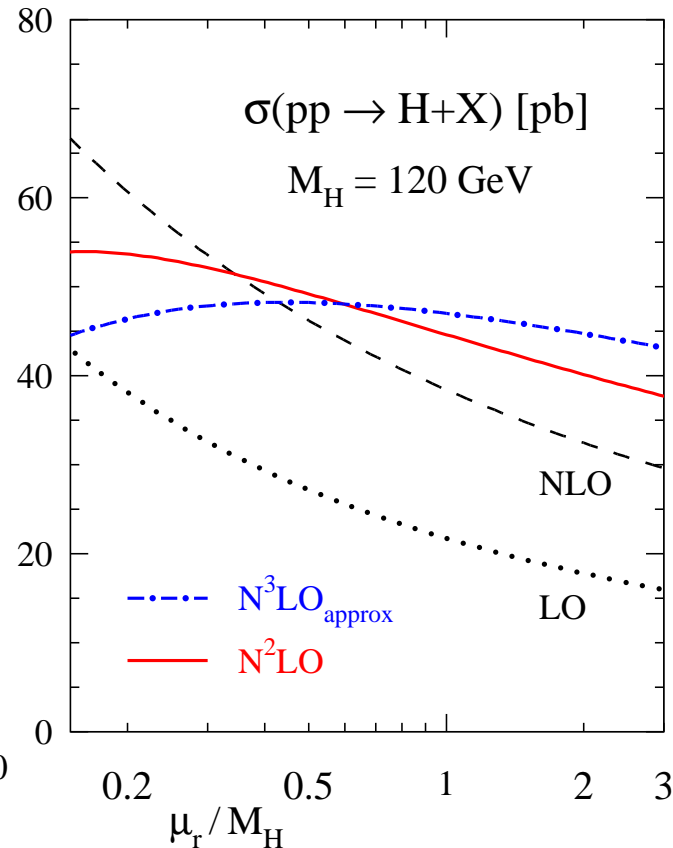
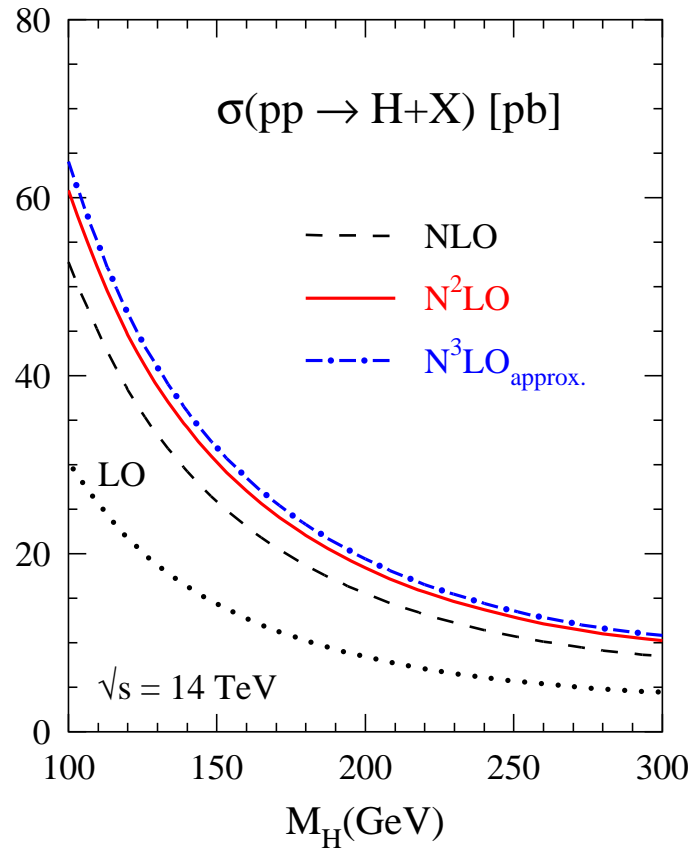
Example: total cross section for Higgs boson production at the LHC



Spira et al. (95)

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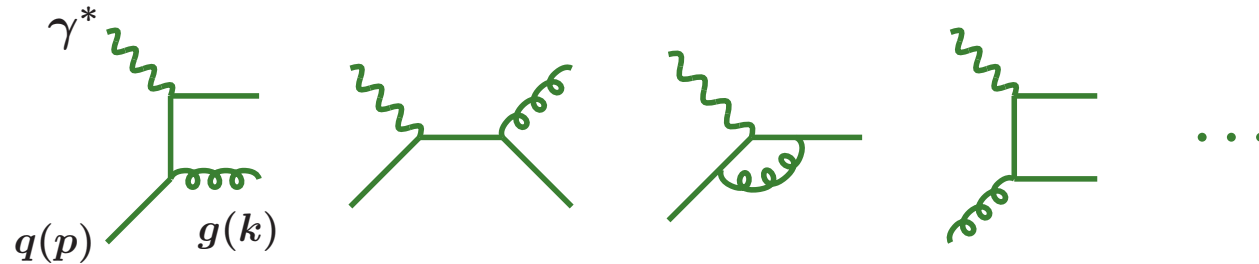
Moch, A.V. (05)

$\hat{\sigma}_{NNLO}$: Harlander, Kilgore (02); Anastasiou, Melnikov (02, 05 [σ_{diff}])

Higher-order uncertainties: $\sim 15\%$ at NNLO, $\sim 5\%$ at approx. N^3LO

Form mass singularities to splitting functions

First-order DIS:
(inclusive, $\int d^4 k$)

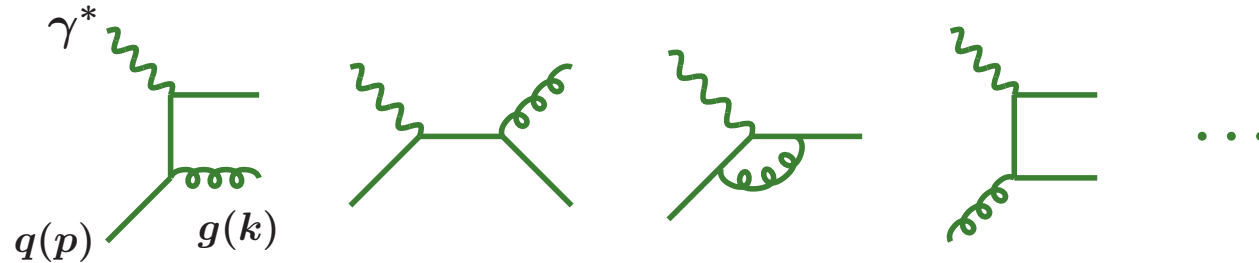


Emissions collinear to the incoming partons: mass singularities

$$(p - k)^2 = -2|\vec{p}||\vec{k}|(1 - \cos \vartheta) \xrightarrow{\vartheta \rightarrow 0} -|\vec{p}||\vec{k}| \vartheta^2 \Rightarrow \int d\vartheta / \dots \text{ divergent}$$

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Regularization (dim. = $4 - 2\epsilon$, poles $\sim 1/\epsilon$) and mass factorization

$$F_a(Q^2) = \hat{F}_{a,k}(\alpha_s(Q^2), \epsilon) \otimes \hat{f}_k = C_{a,i}(\alpha_s(Q^2)) \otimes \underbrace{\Gamma_{ik}(\alpha_s(Q^2), \epsilon)}_{f_i(Q^2)} \otimes \hat{f}_k$$

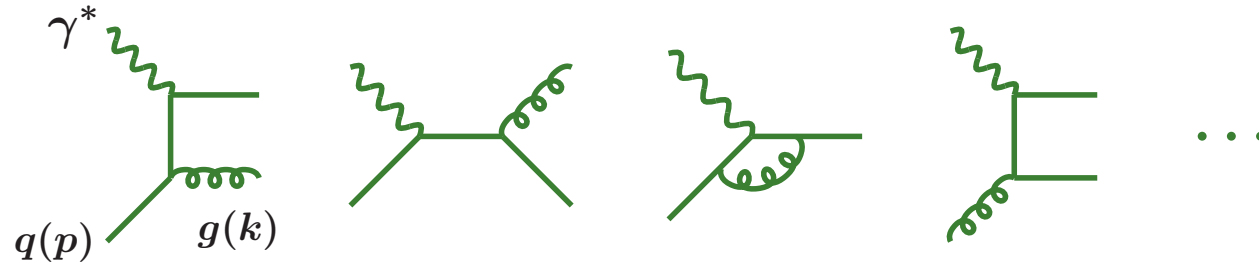
$C_{a,i}$: coefficient functions of F_a

$f_i(Q^2)$

Γ_{ik} : $1/\epsilon$ -poles (universal) + ..., $\overline{\text{MS}}$ scheme

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Renormalized parton distributions f_i : splitting functions P_{ij}

$$\frac{\partial}{\partial \ln Q^2} f_i = \frac{\partial \Gamma_{ik}}{\partial \ln Q^2} \otimes \Gamma_{kj}^{-1} \otimes f_j \equiv P_{ij} \otimes f_j$$

Flavour decomposition of the evolution (I)

Quark-gluon and gluon-quark splitting functions: (anti-)flavour independent

$$P_{gq} \equiv P_{gq_i} = P_{g\bar{q}_i} , \quad P_{qg} \equiv 2n_f P_{q_i g} = 2n_f P_{\bar{q}_i g}$$

⇒ quark-(anti-)quark differences $q_i - q_k$ and $q_i - \bar{q}_k$ decouple from g

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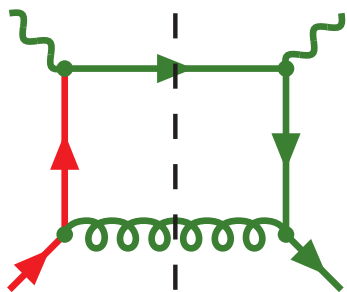
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General structure of the (anti-)quark (anti-)quark splitting functions

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$$P_{qq}^v = \mathcal{O}(\alpha_s)$$

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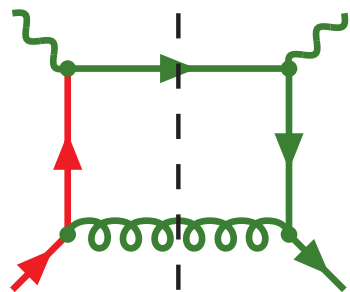
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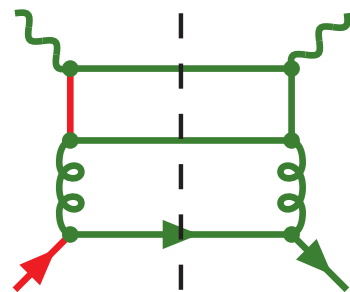
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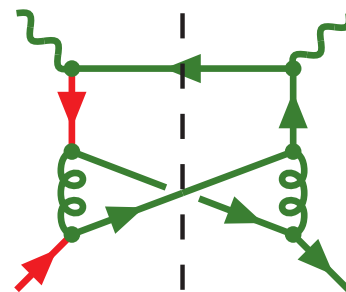
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$$P_{qq}^s, P_{q\bar{q}}^s : \alpha_s^2$$



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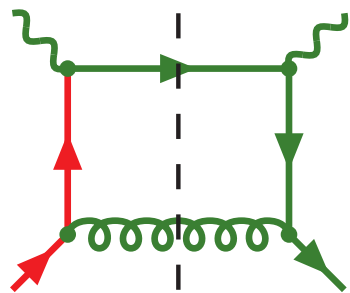
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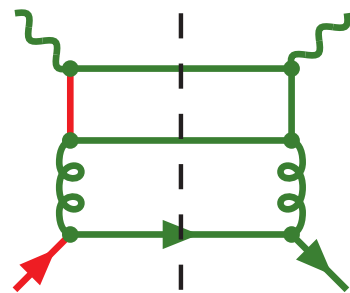
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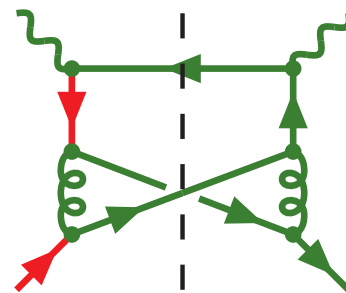
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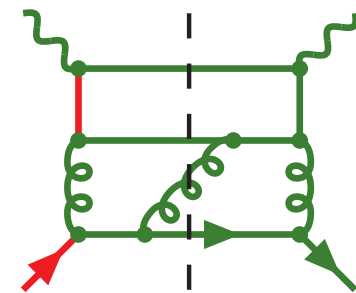
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$$P_{qq}^s, P_{q\bar{q}}^s : \alpha_s^2$$



$$P_{q\bar{q}}^v : \alpha_s^2$$



$$P_{q\bar{q}}^s \neq P_{qq}^s : \alpha_s^3$$

⇒ three types of independent difference (non-singlet, ns) combinations:

Flavour decomposition of the evolution (II)

$2(n_f - 1)$ flavour asymmetries of $q_i \pm \bar{q}_i$ + one total valence distribution

$$q_{ns,ik}^{\pm} = q_i \pm \bar{q}_i - (q_k \pm \bar{q}_k) , \quad q_{ns}^v = \sum_{r=1}^{n_f} (q_r - \bar{q}_r)$$

with

$$P_{ns}^{\pm} = P_{qq}^v \pm P_{q\bar{q}}^v$$

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Flavour-singlet quark distribution q_s : maximal coupling to g

$$q_s = \sum_{r=1}^{n_f} (q_r + \bar{q}_r) , \quad \frac{d}{d \ln \mu^2} \begin{pmatrix} q_s \\ g \end{pmatrix} = \begin{pmatrix} P_{qq} & P_{qg} \\ P_{gq} & P_{gg} \end{pmatrix} \otimes \begin{pmatrix} q_s \\ g \end{pmatrix}$$

with (ps = 'pure singlet')

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Evolution: transform quark input to 'evolution basis' of q_s, q_{ns}^v and, e.g.,

$$v_l^{\pm} = \sum_{i=1}^k (q_i \pm \bar{q}_i) - k(q_k \pm \bar{q}_k) , \quad k = 2, \dots, n_f , \quad l \equiv k^2 - 1 ,$$

evolve non-singlet/singlet, and transform back to, say, $u \pm \bar{u}, d \pm \bar{d}$ etc

Flavour symmetry breaking by evolution

Input $u = u_v + \bar{u}$, $d = d_v + \bar{d}$ with SU(2)-symm. sea, $\bar{u}(\mu_0^2) = \bar{d}(\mu_0^2)$

$$\Rightarrow v_3^+ = u_v + 2\bar{u} - d_v - 2\bar{d} = u_v - d_v = v_3^- \quad \text{at input scale } \mu_0^2$$

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$$\Rightarrow v_3^+ = u_v + 2\bar{u} - d_v - 2\bar{d} = u_v - d_v = v_3^- \quad \text{at input scale } \mu_0^2$$

(Truncated) NLO evolution

$$v_3^-(a_s) = \left\{ 1 + (a_s - a_0) R_1^- \right\} \left(\frac{a_s}{a_0} \right)^{-R_0^{\text{ns}}} (u_v - d_v)(a_0)$$
$$v_3^+(a_s) = \left\{ 1 + (a_s - a_0) R_1^+ \right\} \left(\frac{a_s}{a_0} \right)^{-R_0^{\text{ns}}} (u_v - d_v)(a_0)$$

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SU(2) sea symmetry not preserved by NLO evolution for $u_v \neq d_v$ (p)

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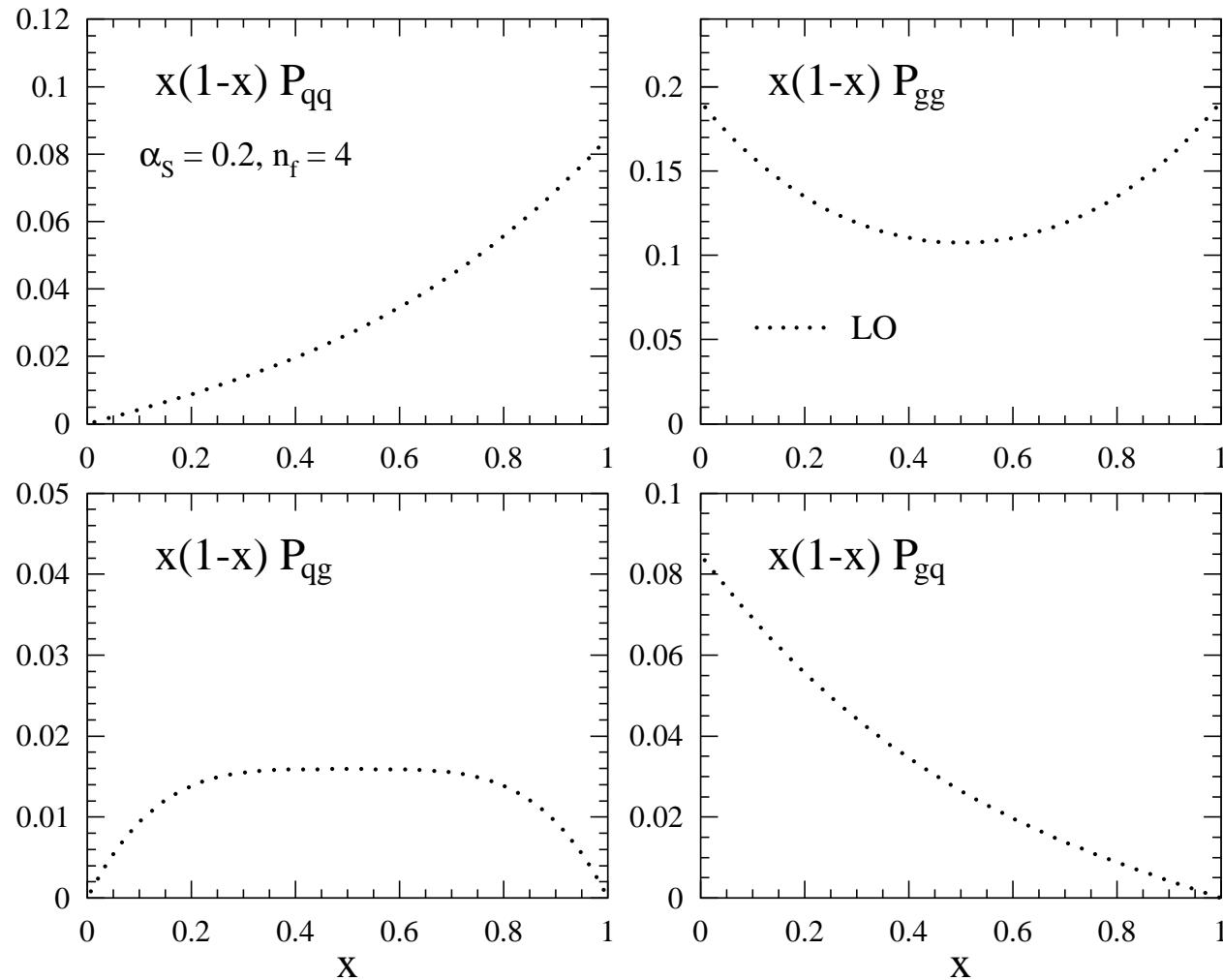
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SU(2) sea symmetry not preserved by NLO evolution for $u_v \neq d_v$ (p)

Analogous situation: $s \neq \bar{s}$ at NNLO even for $(s - \bar{s})(\mu_0^2) = 0$:

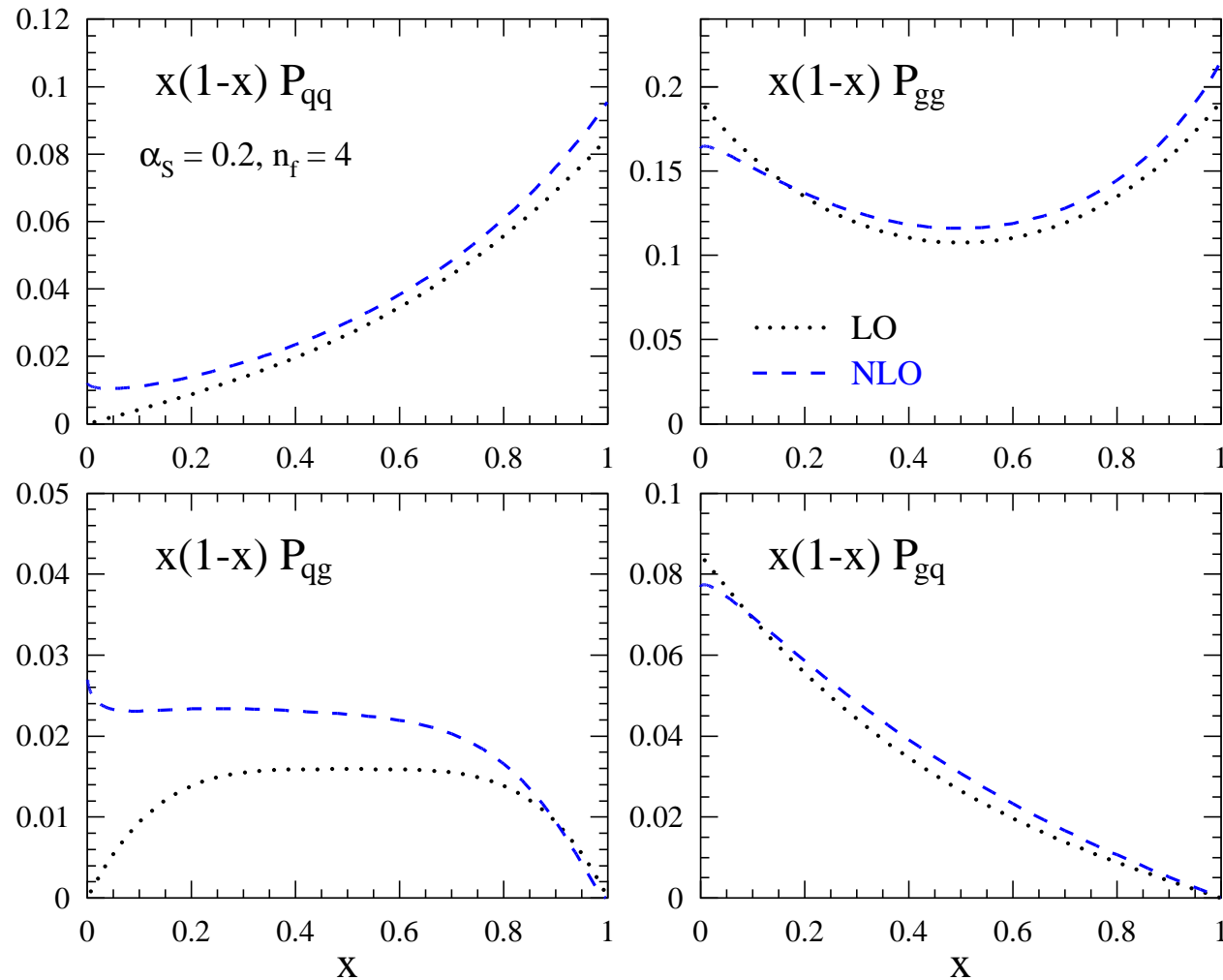
small effects, 'dynamical' $s - \bar{s}$ looked at for $\sin^2 \theta_{\text{weak}}$ from $\nu/\bar{\nu}$ DIS

Singlet splitting functions $P(x < 1)$ to NNLO



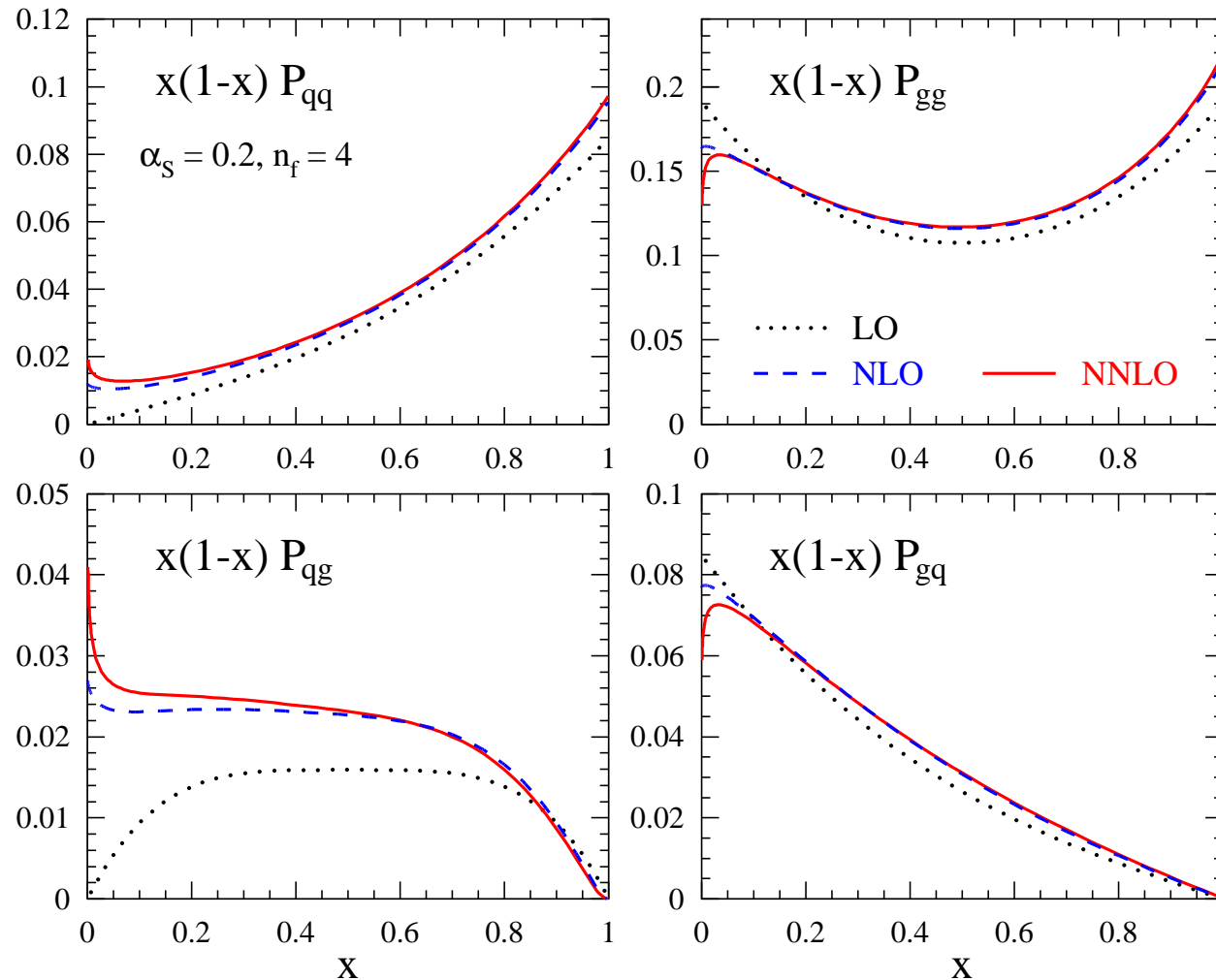
Note: Plotted information incomplete for (math.) distributions P_{qq} and P_{gg}

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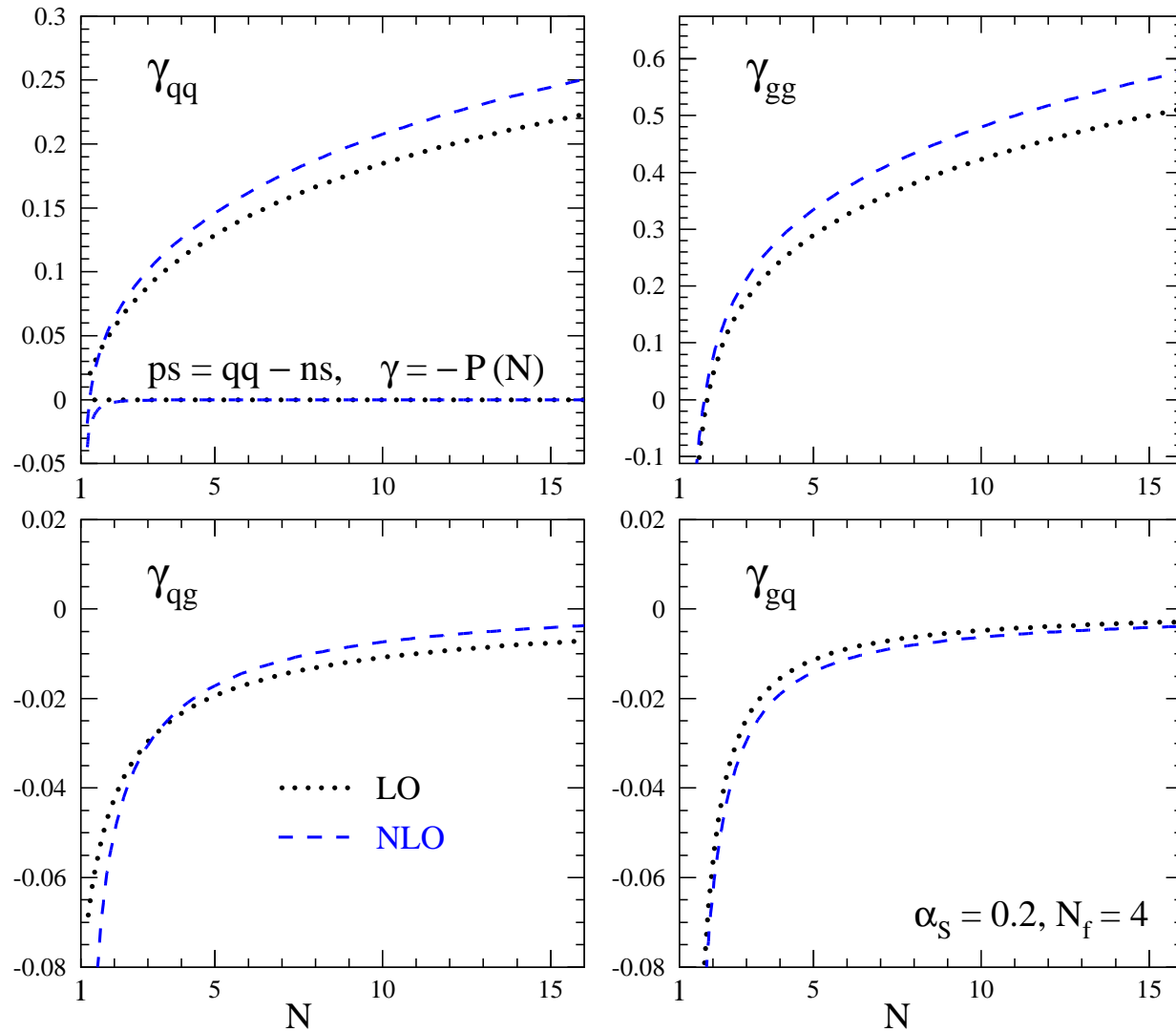
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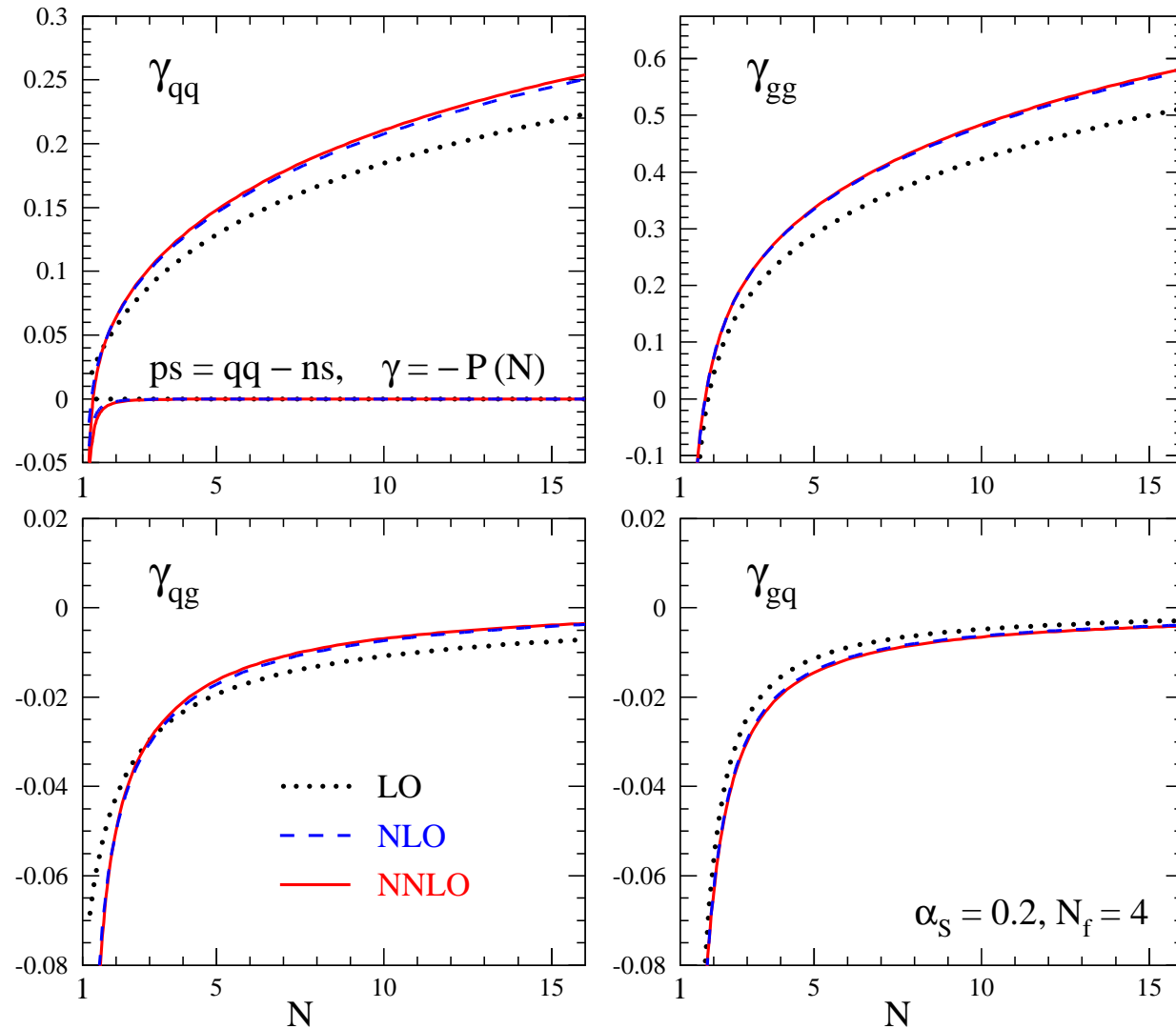
Note: Plotted information incomplete for (math.) distributions P_{qq} and P_{gg}

Stability of the perturbative expansion an issue only at small values of x

Mellin- N space splitting functions to NNLO



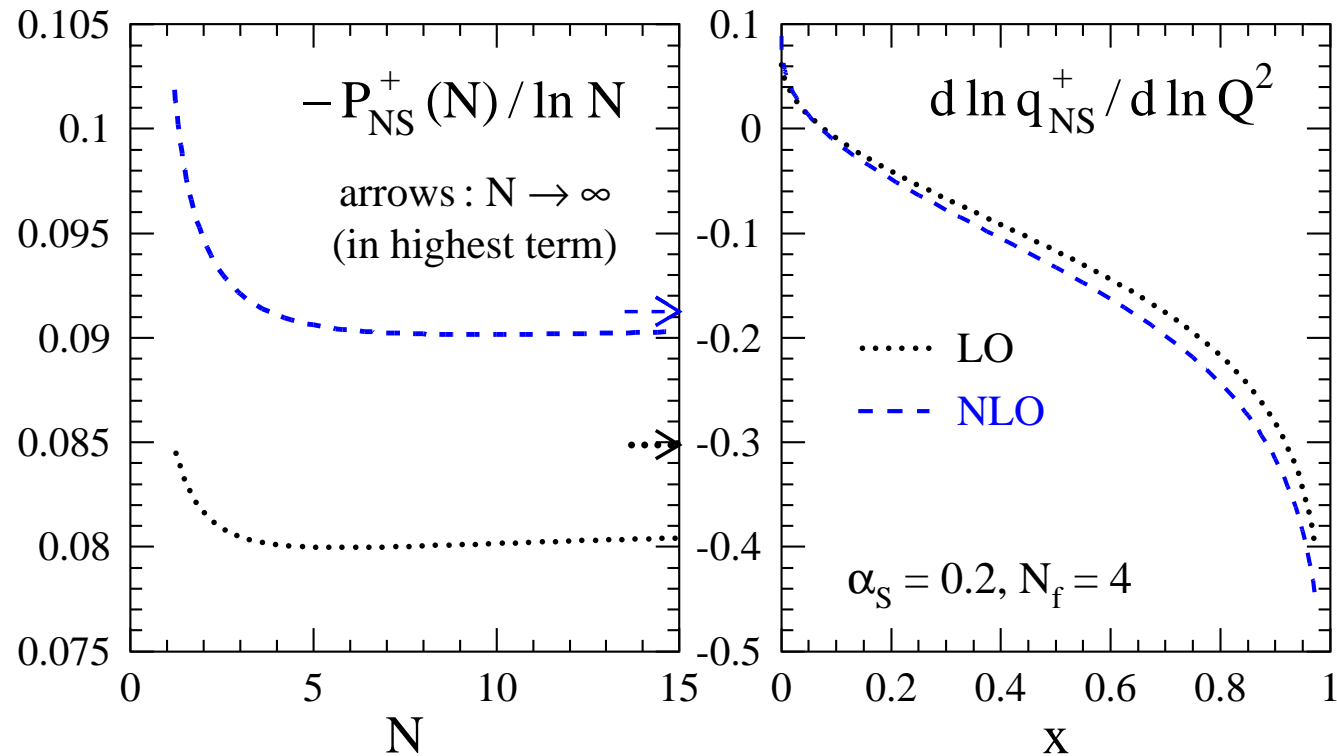
Mellin- N space splitting functions to NNLO



$N > 2$: off-diagonal (NNLO to about 5%) \ll diagonal (NNLO to about 2%)

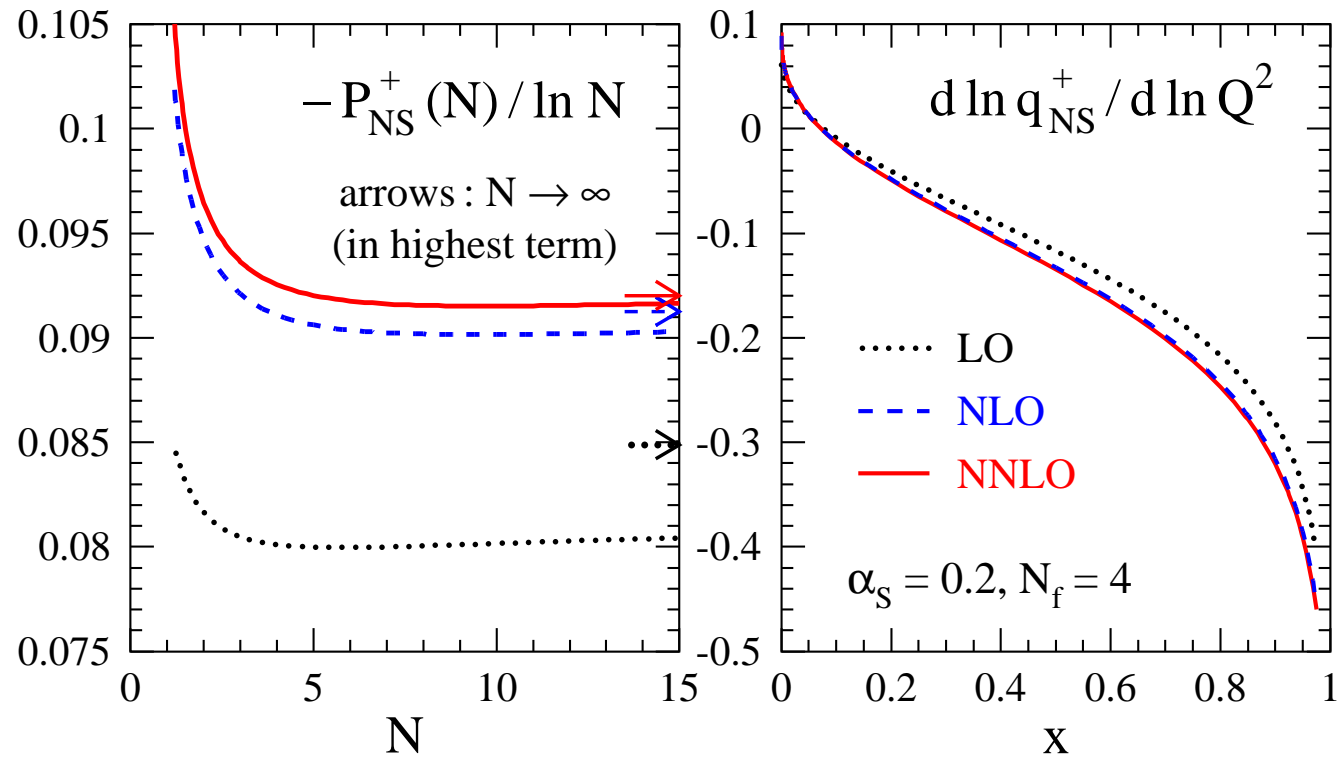
$\overline{\text{MS}}$ non-singlet evolution at large N / large x

Moments: $A^N = \int_0^1 dx x^{N-1} A(x)$, $(1-x)_+^{-1} \leftrightarrow \ln N + \gamma_e + \mathcal{O}(1/N)$



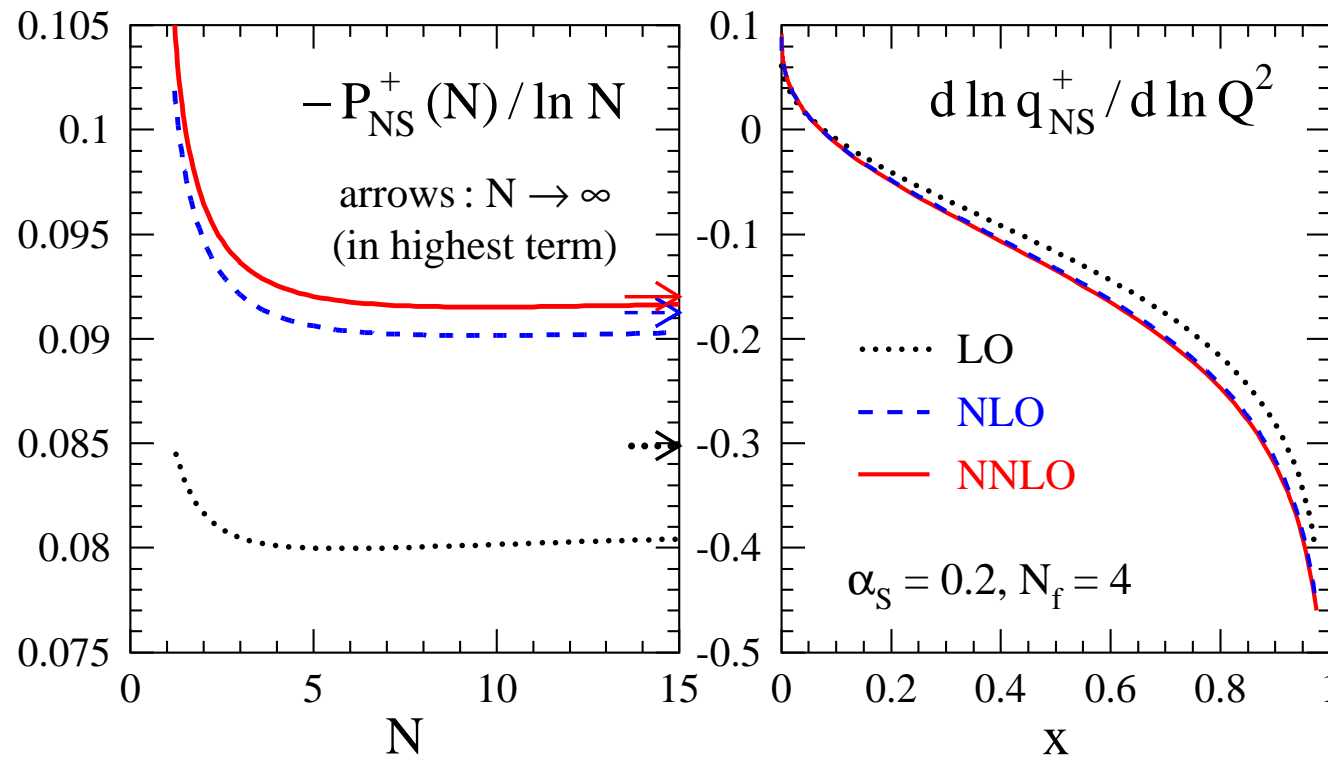
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$N^3\text{LO}$: P_{ns}^+ computed for $N=2$, $n_f=3$

Baikov, Chetyrkin (06)

$$P_{\text{ns}}^+ = -0.283 \alpha_s [1 + 0.869 \alpha_s + 0.798 \alpha_s^2 + 0.926 \alpha_s^3 + \dots]$$

$N > 2$, $n_f > 3$: similar / smaller $\ln N$ coeff's. $\simeq 1\%$ accuracy at $\alpha_s \lesssim 0.25$

Small- x behaviour of the splitting functions

NNLO non-singlet: $P_{x \rightarrow 0}^{(2)i}(x) = D_0^i \ln^4 x + \dots + D_3^i \ln x + \mathcal{O}(1)$

Generally terms up to $\ln^{2k} x$ at order α_s^{k+1}

D_0^i : Blümlein, A.V. (95)

Coefficients for 'plus' case, like $u + \bar{u} - (d + \bar{d})$ for $n_f = 4$ with $a_s = \frac{\alpha_s}{4\pi}$

$$D_0^+ \cong 1.580, \quad D_1^+ \cong 20.18, \quad D_2^+ \cong 175.3, \quad D_3^+ \cong 720.3$$

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NNLO singlet: $P_{ab,x \rightarrow 0}^{(2)}(x) = E_1^{ab} \frac{\ln x}{x} + E_2^{ab} \frac{1}{x} + \mathcal{O}(\ln^4 x)$

Generally terms up to $x^{-1} \ln^k x$ (gb) and $x^{-1} \ln^{k-1} x$ (qb) at order α_s^{k+1}

E_1^{qb} : Catani, Hautmann (94), E_1^{gg} : Fadin, Lipatov (98)

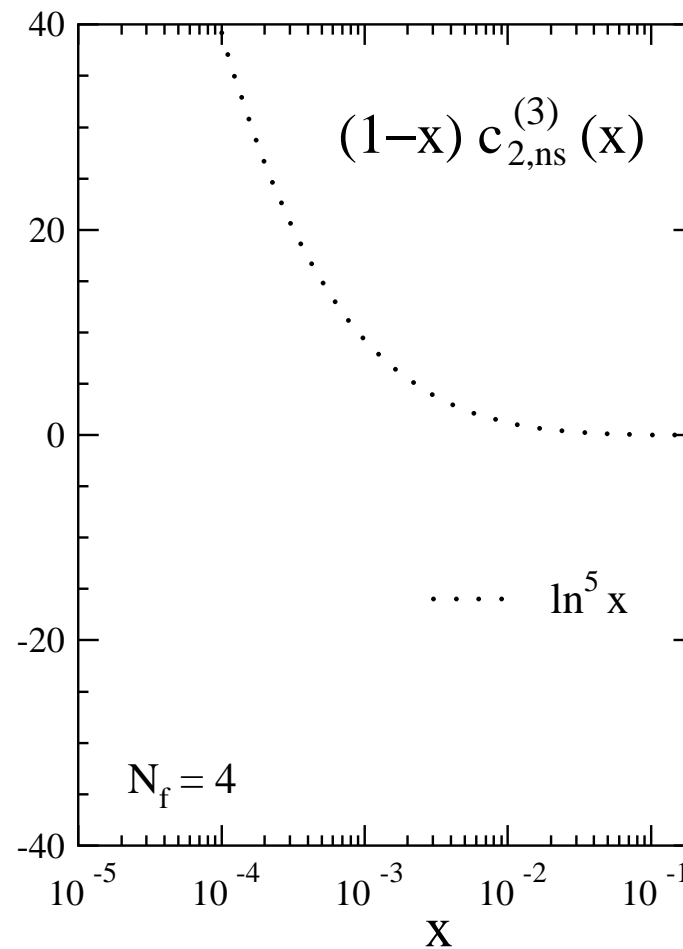
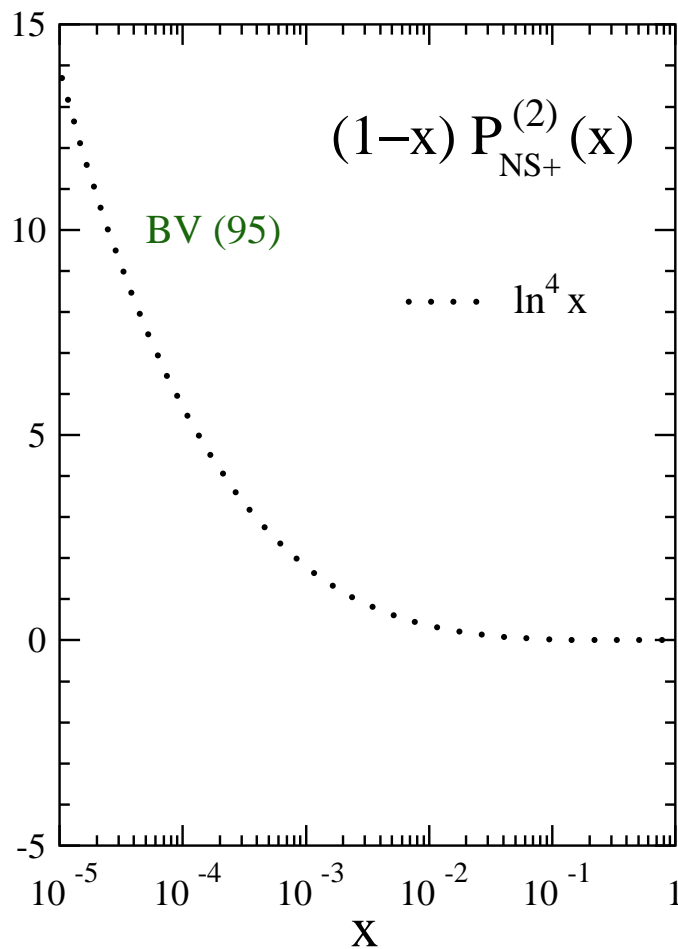
$$n_f = 4: \quad E_1^{qg} \cong -1194.7, \quad E_2^{qg} = -4999.9$$

$$E_1^{gg} \cong +3304.9, \quad E_2^{gg} = +14901$$

More often than not, **Large logarithms have small coefficients**

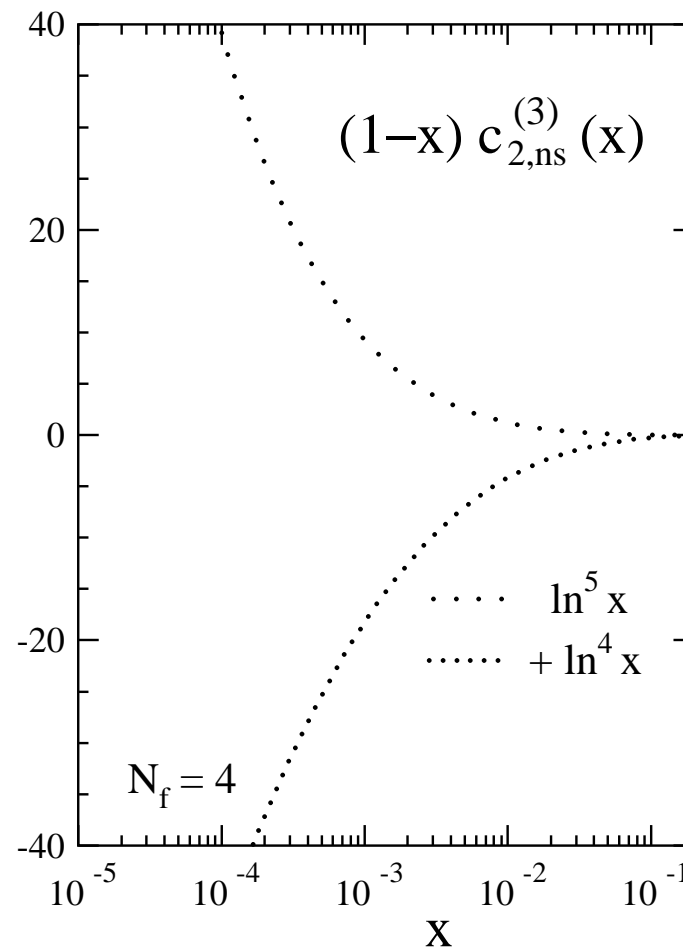
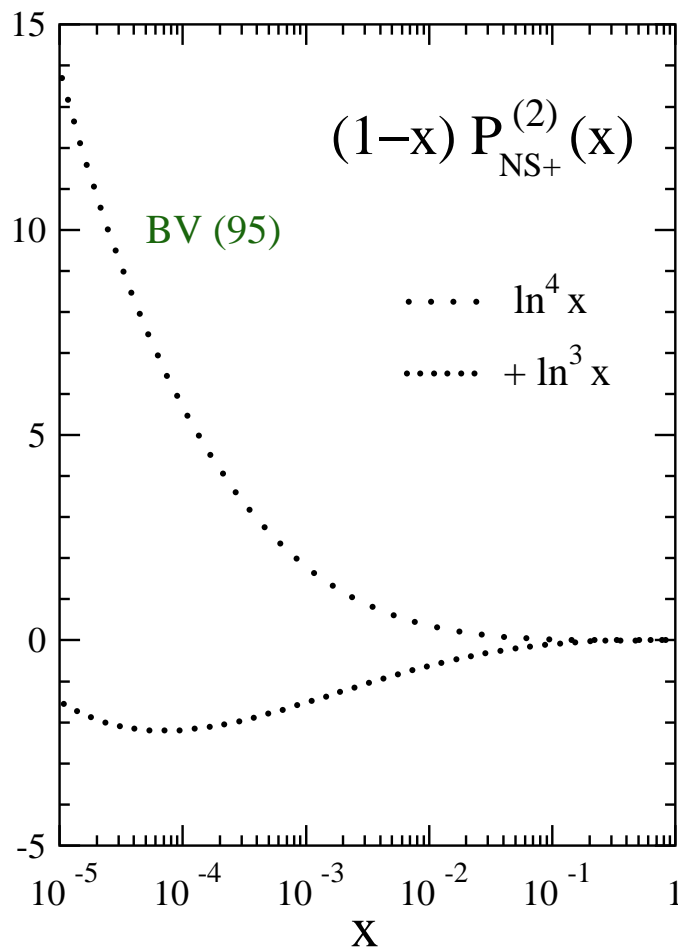
Non-singlet three-loop quantities at small x

Order α_s^n : small- x 'double logs' $\ln^{2k}x$ with $k \leq n-1$ ($n-\frac{1}{2}$) in $P(c)$



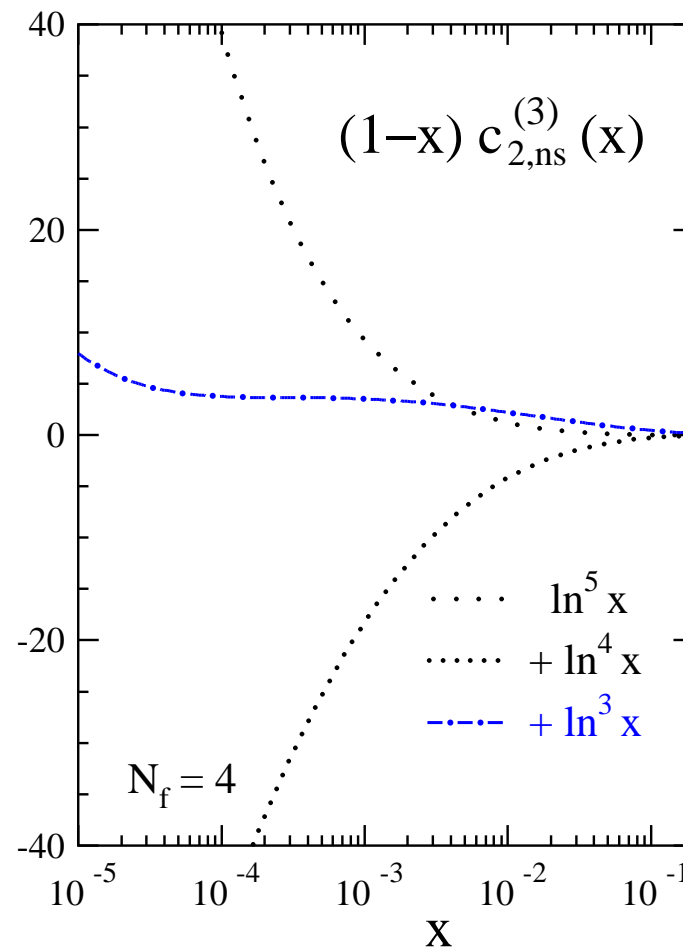
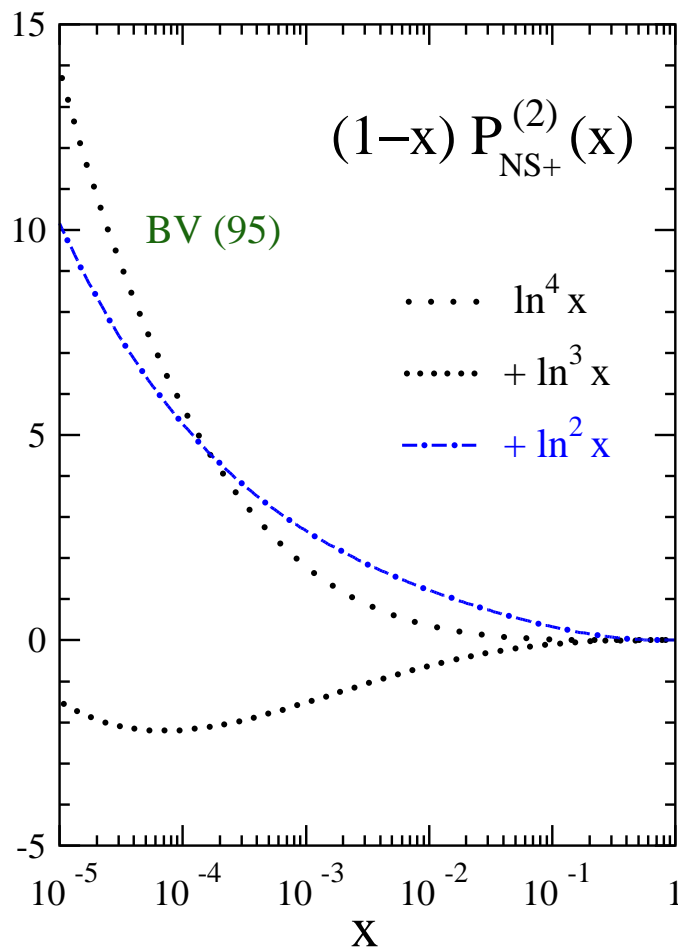
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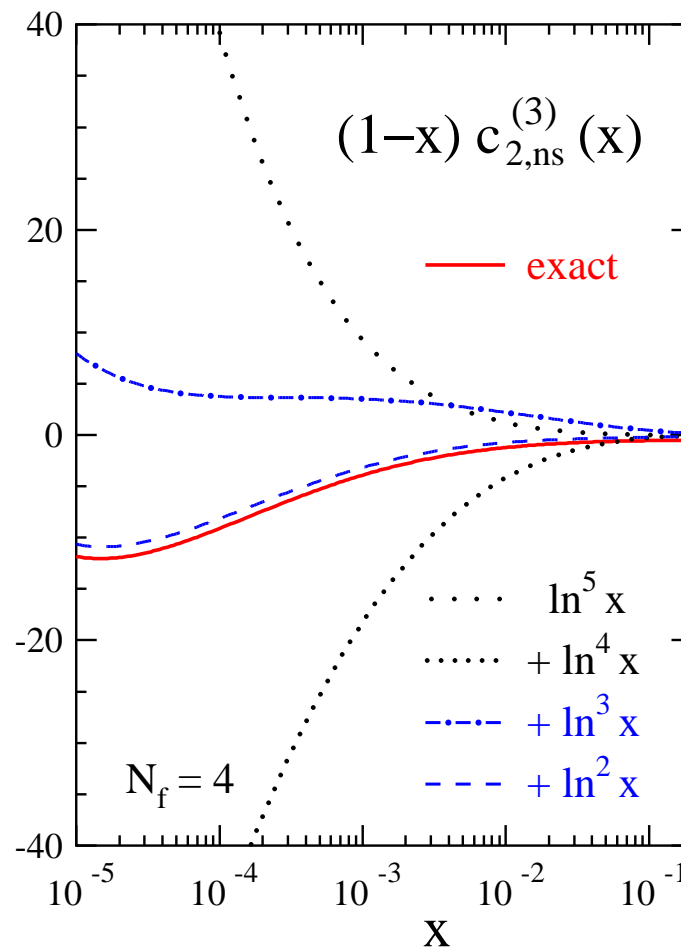
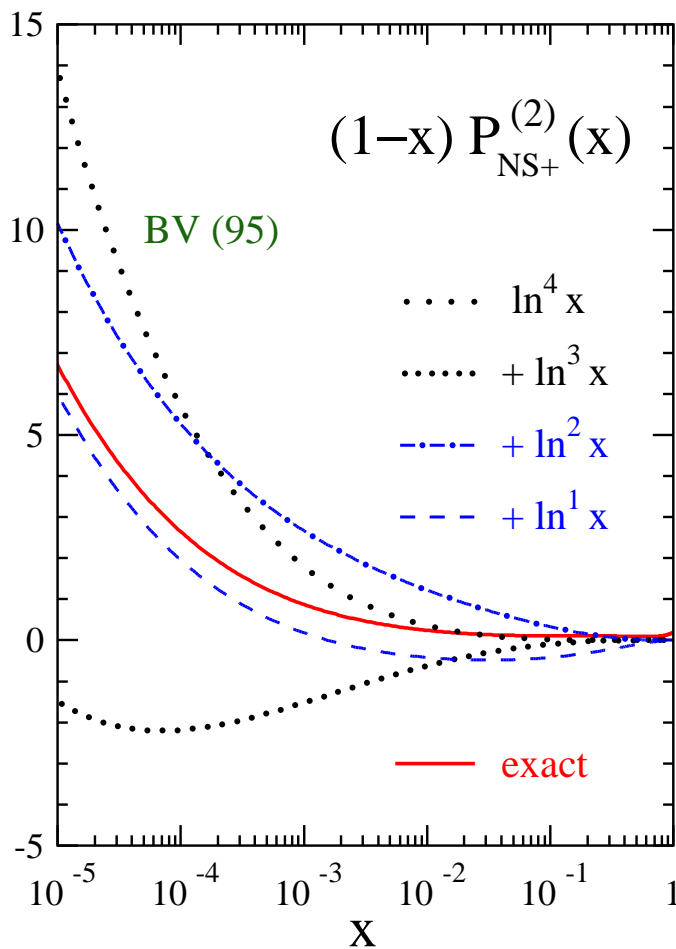
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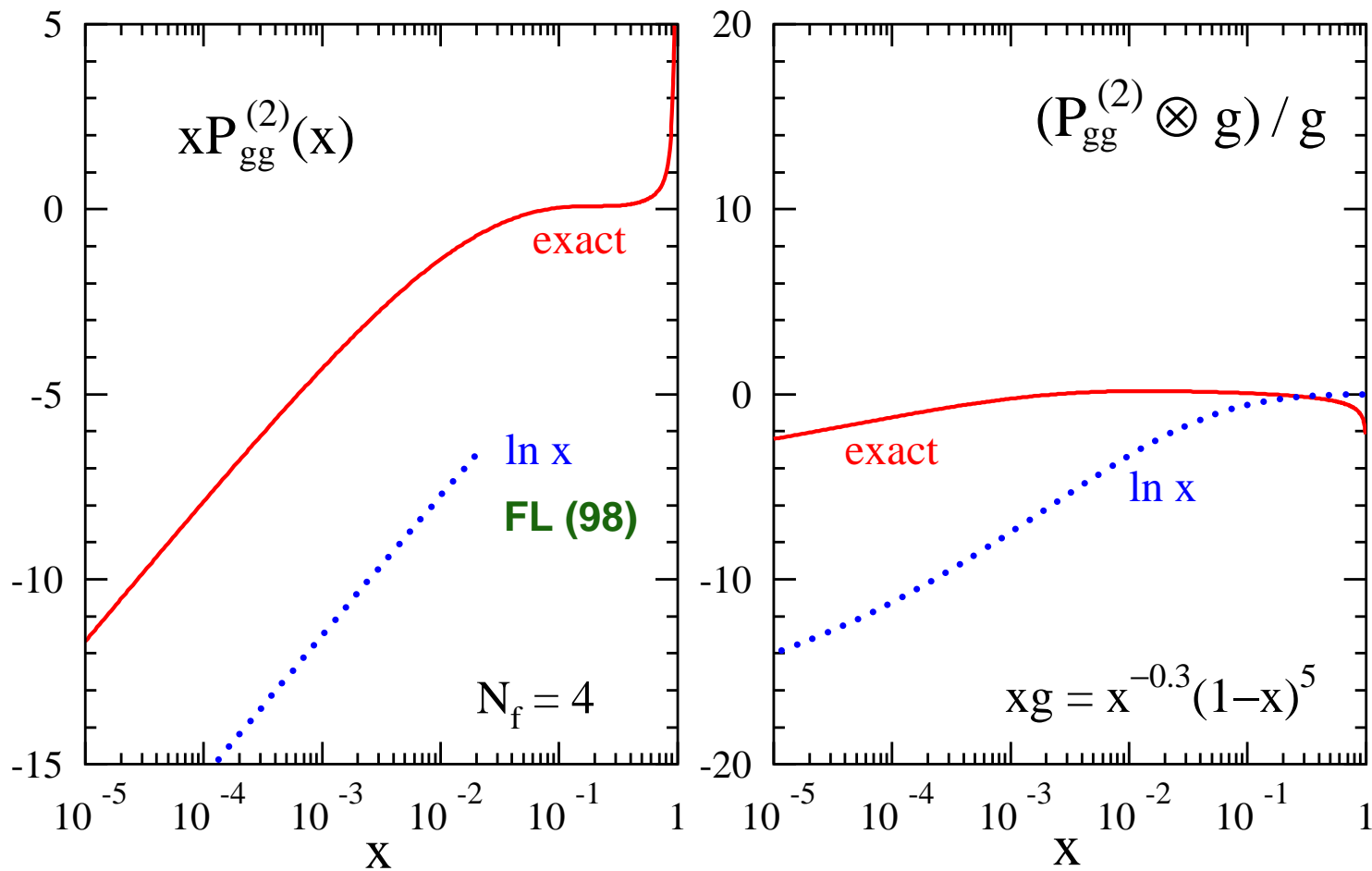
Order α_s^n : small- x 'double logs' $\ln^{2k}x$ with $k \leq n-1$ ($n-\frac{1}{2}$) in $P(c)$



x -values for colliders: not even shape guaranteed by (next-to-) leading logs

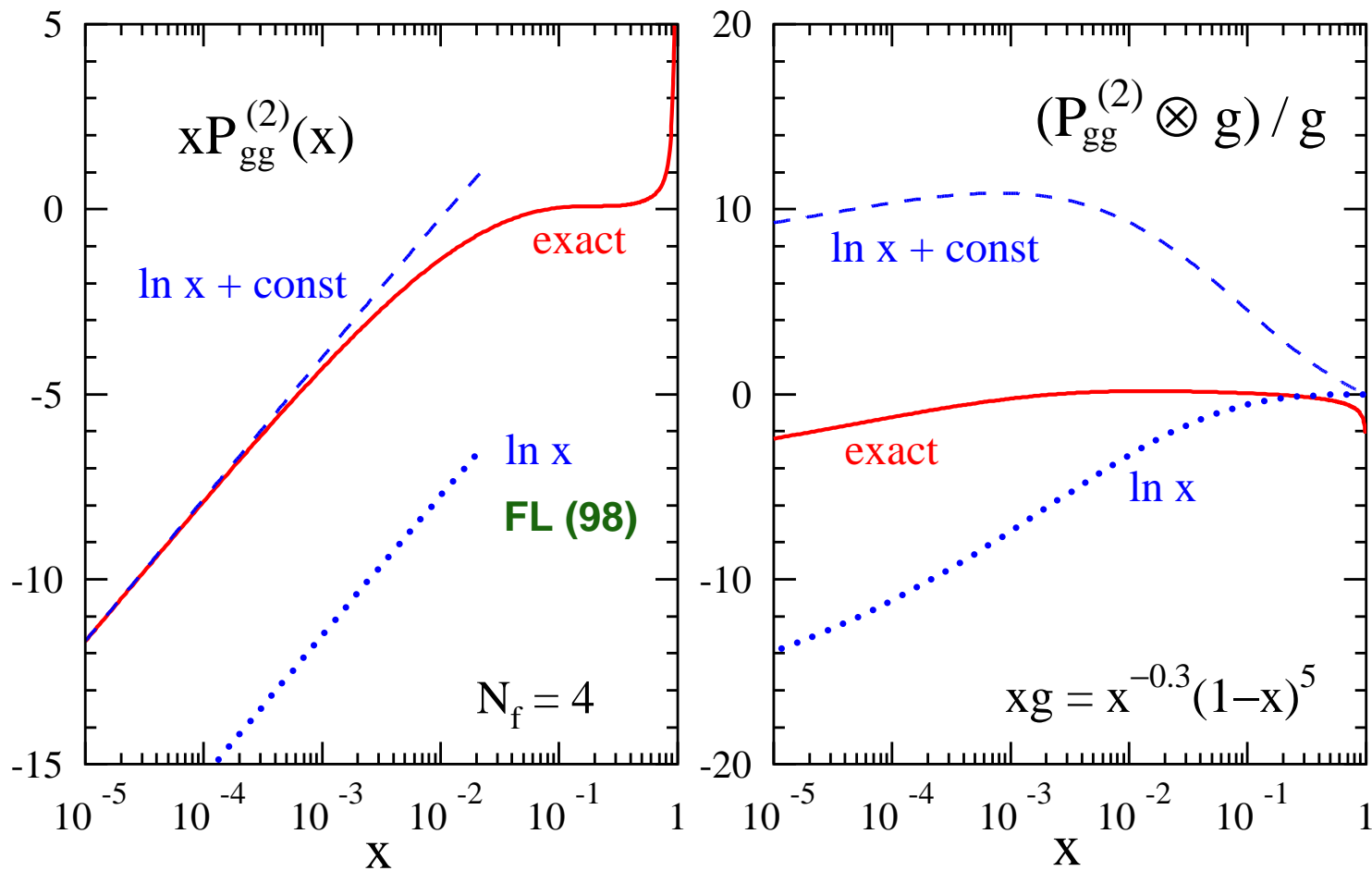
Singlet splitting and evolution at small x

Splitting functions \rightarrow observables: Mellin convolutions $\int_x^1 \frac{dy}{y} P(y) f\left(\frac{x}{y}\right)$



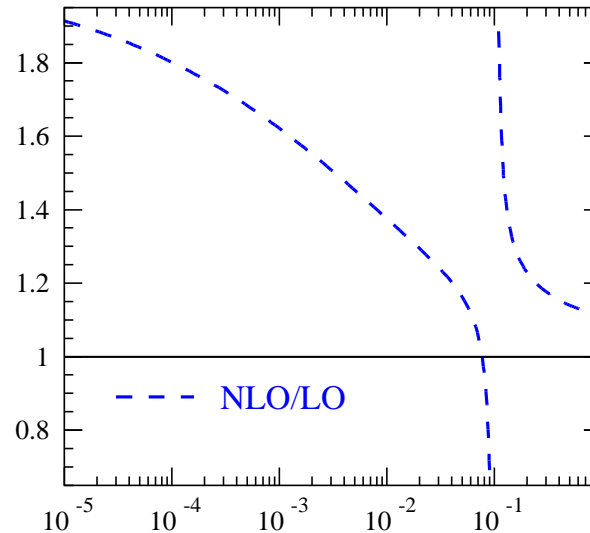
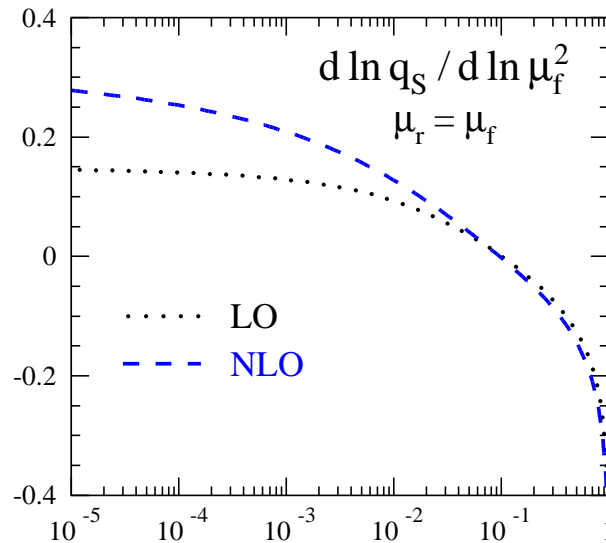
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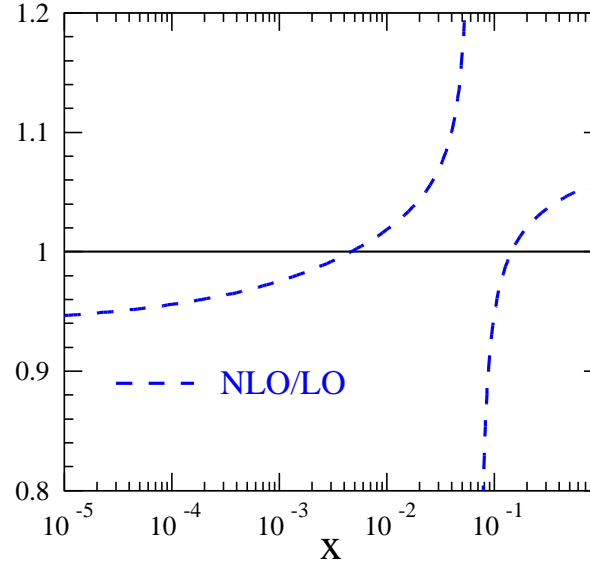
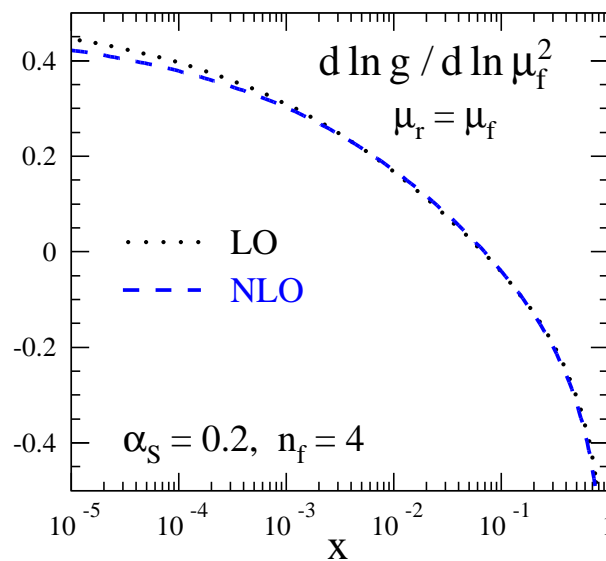
General: small- x limits of pQCD functions insufficient due to convolutions

Scale derivatives of singlet parton densities



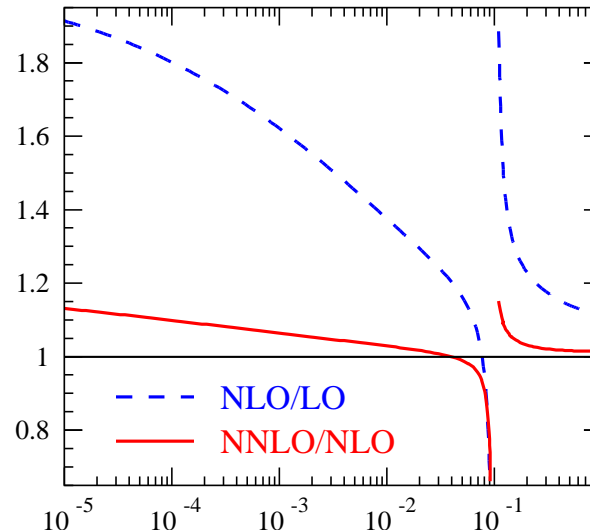
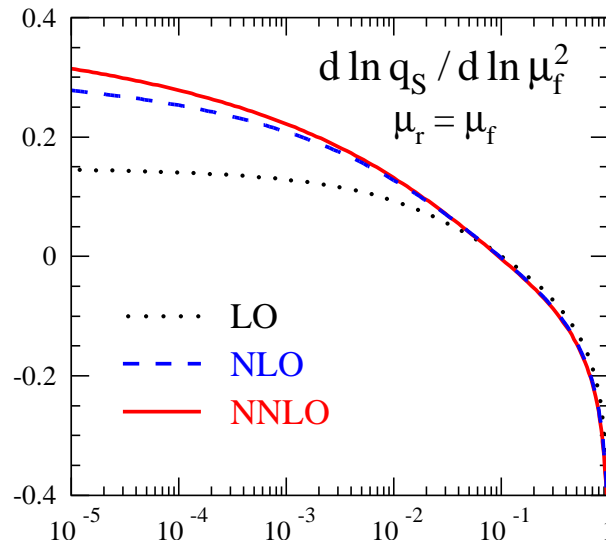
scale $\approx 30 \text{ GeV}^2$

quark distrib'n



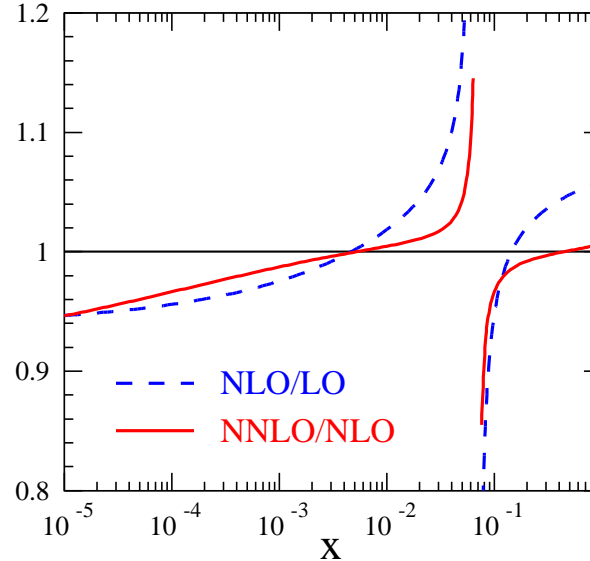
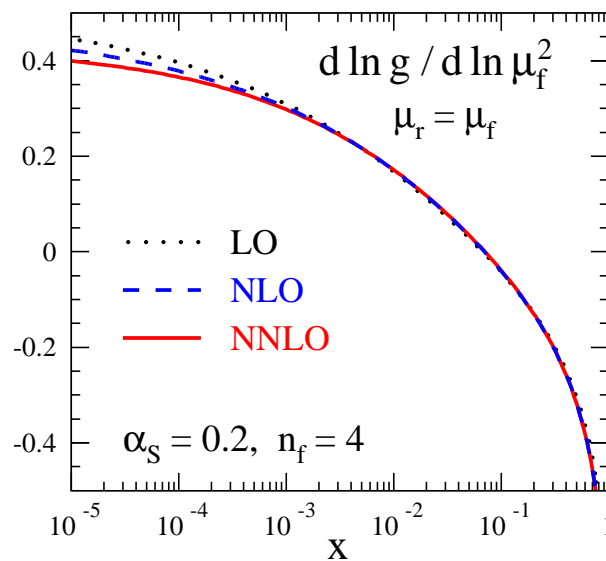
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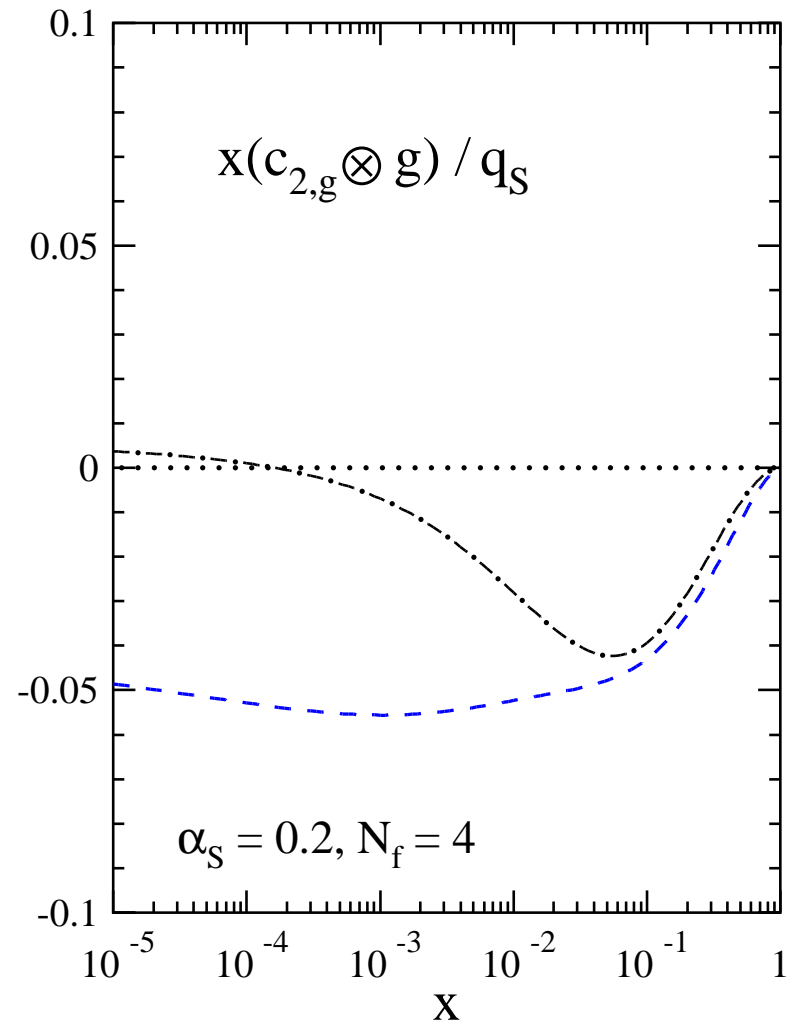
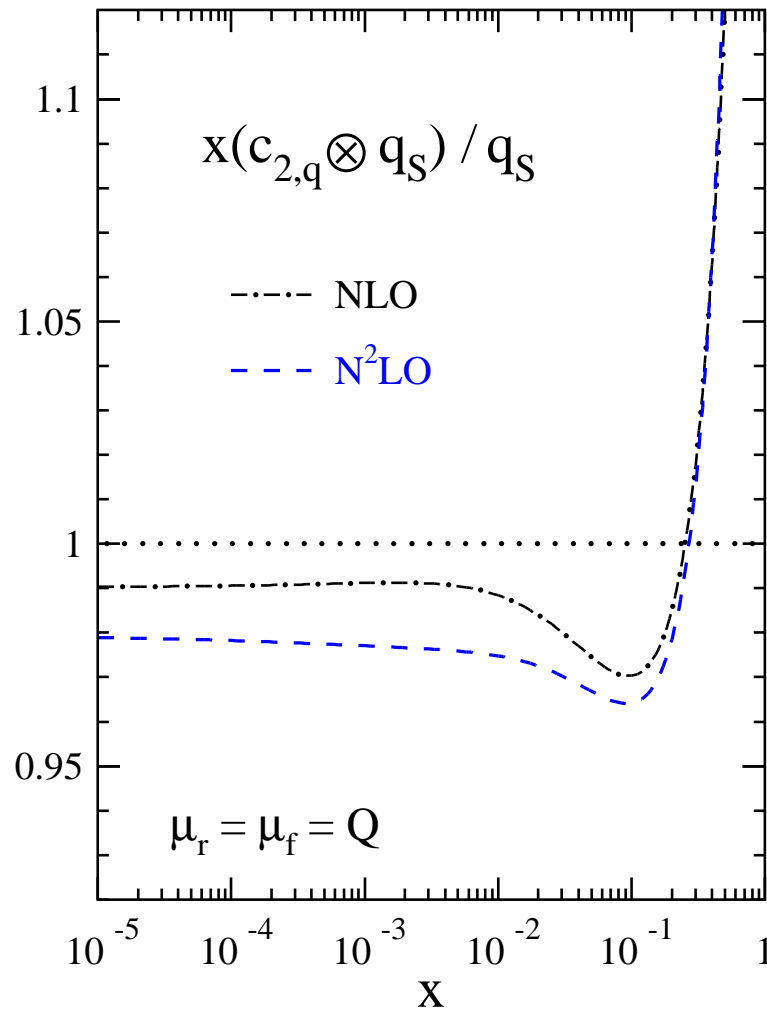
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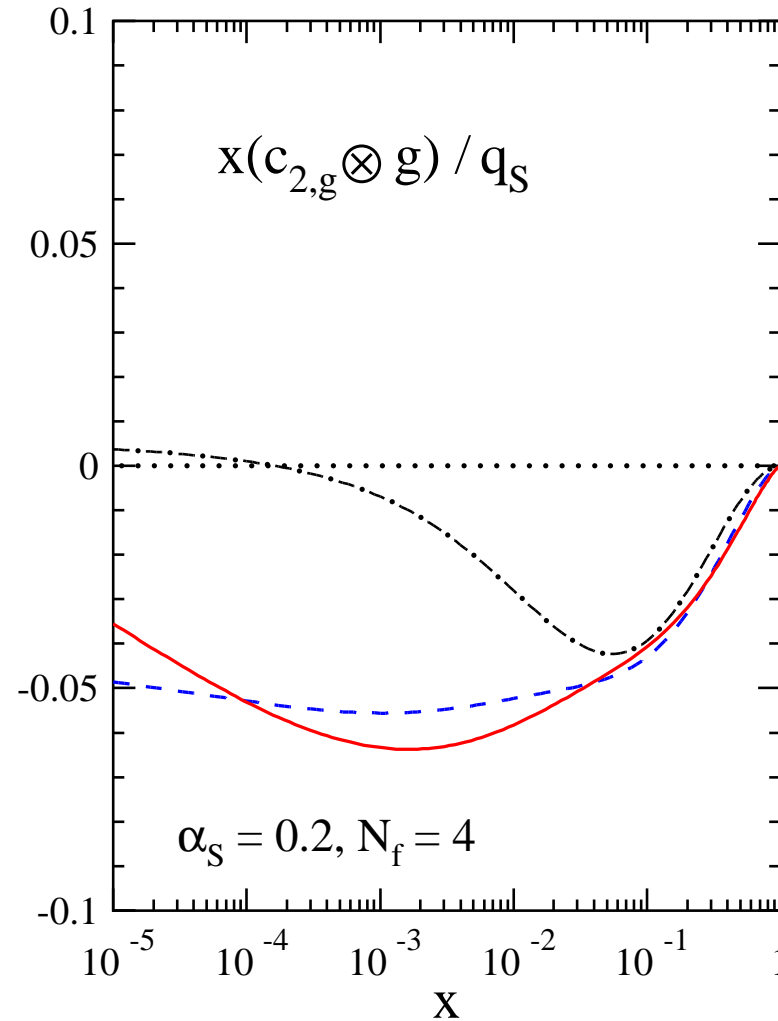
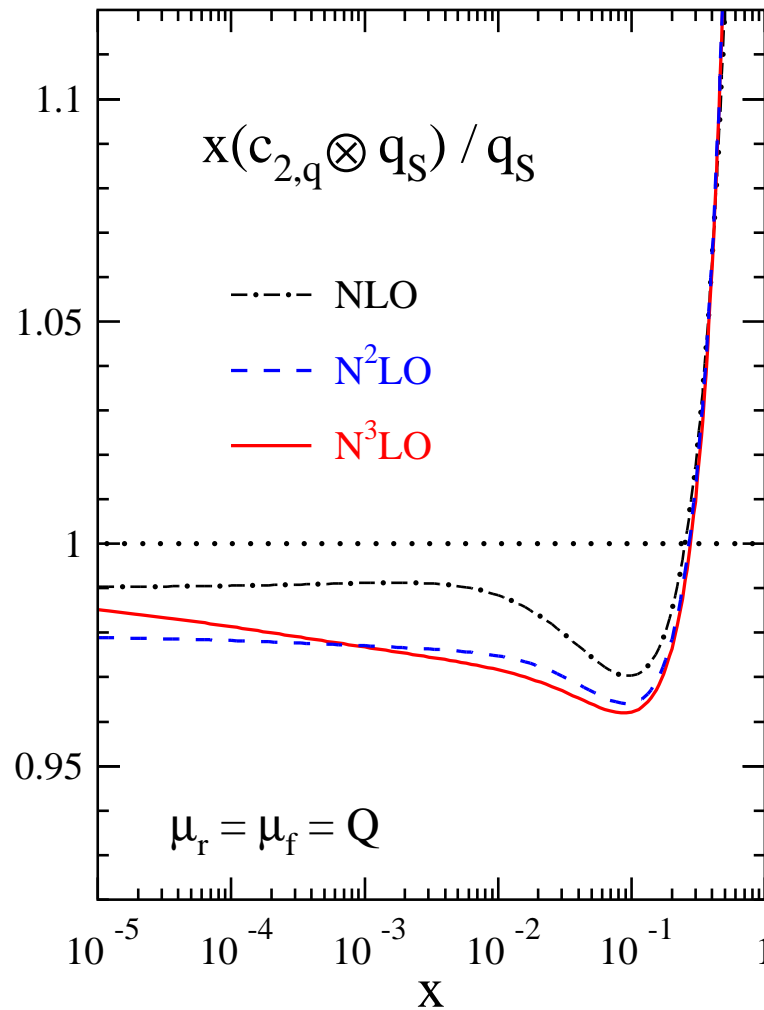
gluon distrib'n

Good convergence at collider- x – but NNLO is 10% for q_S at $x = 10^{-4}$

Expansion of photon-exchange F_2 to N³LO

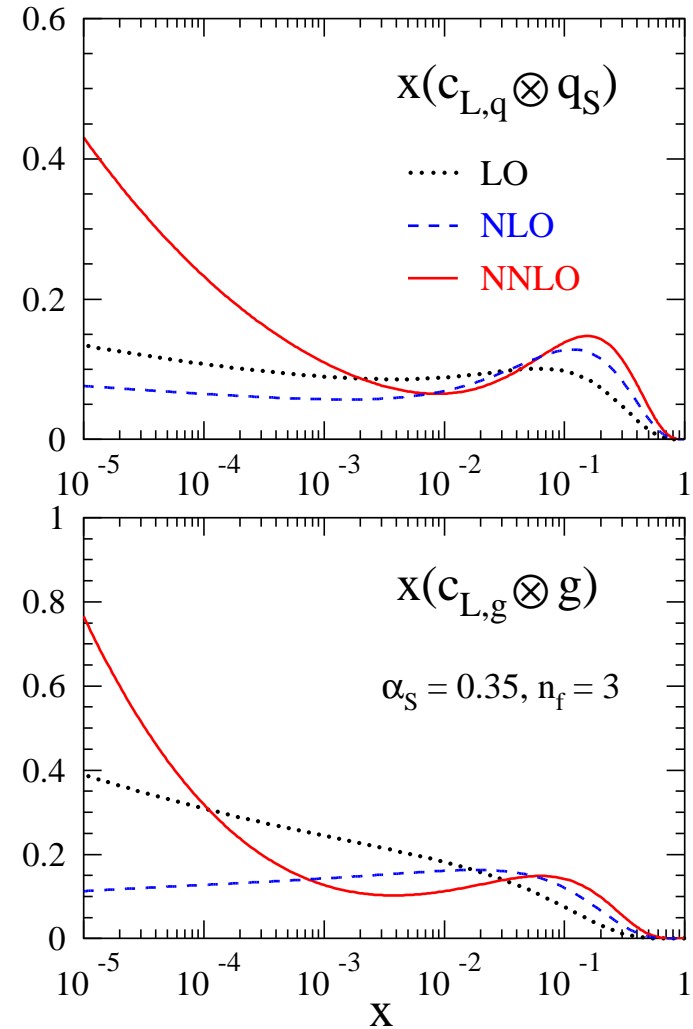
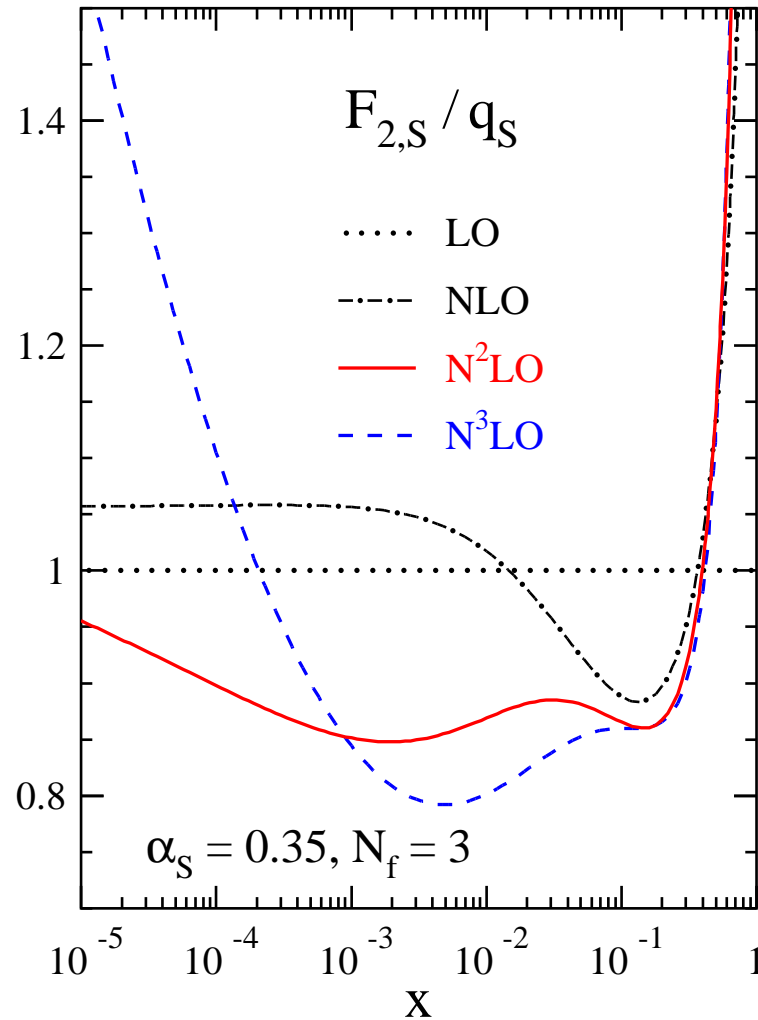


Expansion of photon-exchange F_2 to N³LO



Total N³LO corr. $\leq 1\%$ at $4 \cdot 10^{-5} \leq x \leq 0.65$. N³LO $>$ NNLO for $x \lesssim 10^{-8}$

Disclaimer (II): beware of small x at low Q^2



$Q^2 \approx 2 \text{ GeV}^2$: expansion out of control at $x \lesssim 10^{-4}$ (F_2) and $x \lesssim 10^{-3}$ (F_L)

Available evolution codes including NNLO

x-space: discretization in x , μ_f of coupled integro-differential equations

HOPPET (G. Salam, publ. 2008), <http://hepforge.cedar.ac.uk/hoppet/> with J. Rojo

QCDNUM (M. Botje, now v.17 β), <http://www.nikhef.nl/~h24/qcdnum/>

N-space: ordinary diff. eqs., time-ordered exponential, $N \rightarrow x$ numerical

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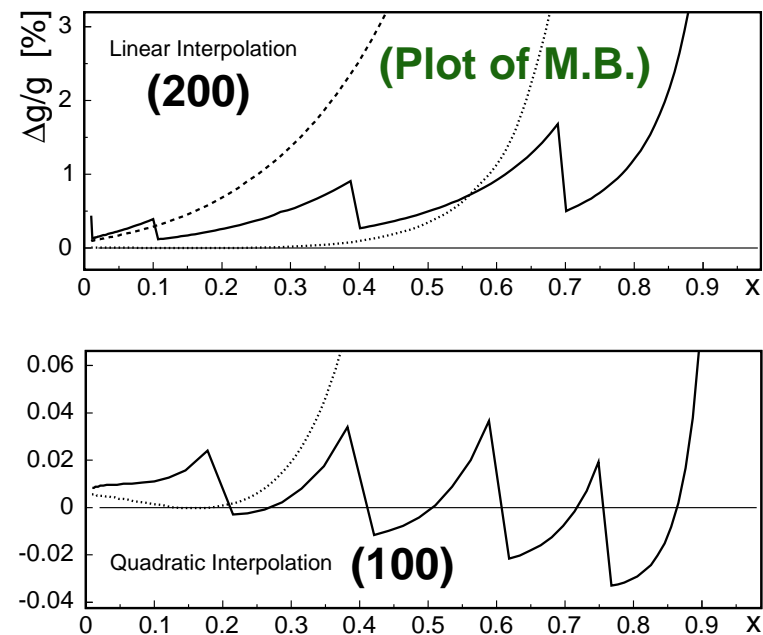
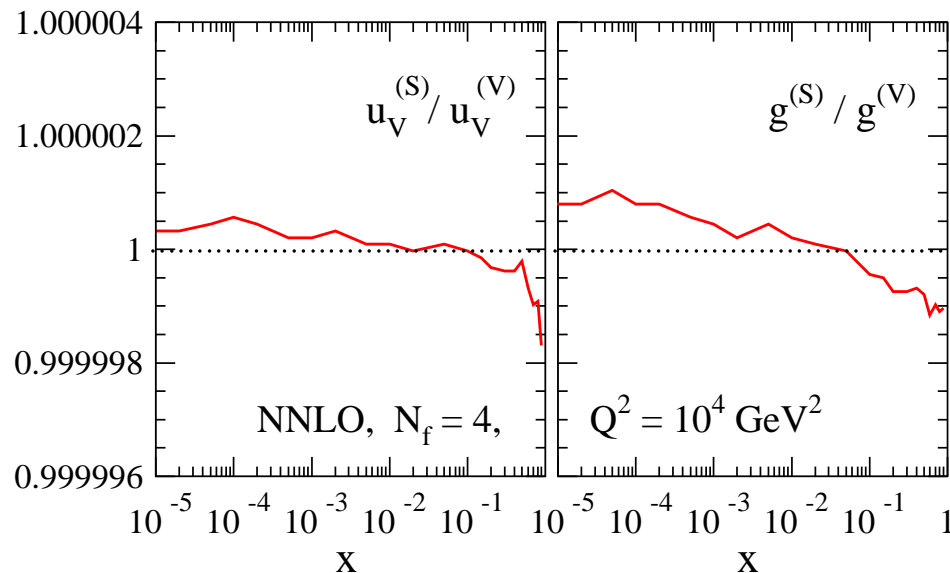
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Sample comparisons



Benchmark tables for the parton evolution

Evolution of Les Houches (2001) reference input at scale $\mu_{f,0}^2 = 2 \text{ GeV}^2$

$$\begin{aligned}xu_v(x, \mu_{f,0}^2) &= 5.1072 x^{0.8} (1-x)^3, \dots \\xg(x, \mu_{f,0}^2) &= 1.7000 x^{-0.1} (1-x)^5\end{aligned}$$

with

$$\alpha_s(\mu_r^2 = 2 \text{ GeV}^2) = 0.35$$

at LO, NLO and NNLO, for $\mu_r = \{0.5, 1, 2\} \mu_f$, with fixed and variable N_f

Use of two completely different codes.

G. Salam, A.V. (2002, 05)

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Five-digit agreement over wide range in $x, \mu_f^2 \Rightarrow$ reference tables

Example: (iterated) NNLO results, $\mu_r = 2\mu_f$, $N_f = 4$ at $\mu_f^2 = 10^4 \text{ GeV}^2$

$$\begin{aligned}x = 10^{-5}, \quad xu_v = 2.9032 \cdot 10^{-3}, \quad \dots, \quad xg = 2.2307 \cdot 10^2 \\ \dots x = 0.9, \quad xu_v = 3.6527 \cdot 10^{-4}, \quad \dots, \quad xg = 1.2489 \cdot 10^{-6}\end{aligned}$$

Full tables in [hep-ph/0204316 \(Les Houches\)](#), [hep-ph/0511119 \(HERA-LHC\)](#)

Heavy quarks in hard proton processes

$$m_u, m_d \ll \Lambda_{\text{QCD}}, \quad m_s \lesssim \Lambda_{\text{QCD}}$$

Can neglect 'light quark' masses in description of hard proton processes

⇒ mass singularities, scale-dependent u, d, s, g parton distributions

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$Q \not\gg m_c$: u, d, s, g partons + massive charm-prod. coefficient functions

Fixed flavour-number scheme, FFNS

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Zero-mass variable flavour-number scheme, ZM-VFNS

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Example: structure function $F_2^{c\bar{c}}$, disregarding 'intrinsic charm' (\Leftarrow HERA)

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Fixed flavour-number scheme, FFNS

$Q \gg \gg m_c$: terms $m_c/Q \rightarrow 0$, $n_f = 4$ pdf's (matching), $m = 0$ coeff. fct's
Zero-mass variable flavour-number scheme, ZM-VFNS

$Q \gg m_c$: terms $m_c/Q \neq 0$, but quasi-collinear logs $\ln(Q/m_c)$ large,
 $n_f = 4$ pdf's, 'interpolating' coeff. functions (\Leftarrow prescriptions)
(General-mass) variable flavour-number scheme, (GM-)VFNS

Heavy quarks in the evolution of PDFs and α_s

Here: disregard 'intrinsic charm/bottom' – might be relevant at large x

cf. Pumplin, Lai, Tung (07)

$\overline{\text{MS}}$ evolution of parton densities and α_s with variable number n_f of flavours:
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$\overline{\text{MS}}$ evolution of parton densities and α_s with variable number n_f of flavours: matching of effective theories. For N^m LO partons at $\mu_f = m_h$ (pole mass)

$$l_i^{(n_f+1)} = l_i^{(n_f)} + \theta_{m2} a_s^2 A_{\text{qq,h}}^{\text{ns,(2)}} \otimes l_i^{(n_f)} + \dots$$

$$g^{(n_f+1)} = g^{(n_f)} + \theta_{m2} a_s^2 \left[A_{\text{gq,h}}^{\text{s,(2)}} \otimes q_s^{(n_f)} + A_{\text{gg,h}}^{\text{s,(2)}} \otimes g^{(n_f)} \right] + \dots$$

$$(h + \bar{h})^{(n_f+1)} = \theta_{m2} a_s^2 \left[A_{\text{hq}}^{\text{s,(2)}} \otimes q_s^{(n_f)} + A_{\text{hg}}^{\text{s,(2)}} \otimes g^{(n_f)} \right] + \dots$$

Buza et al. (96), [Bierenbaum, Blümlein, Klein (07)]

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Here: disregard ‘intrinsic charm/bottom’ – might be relevant at large x

cf. Pumplin, Lai, Tung (07)

$\overline{\text{MS}}$ evolution of parton densities and α_s with variable number n_f of flavours: matching of effective theories. For N^m LO partons at $\mu_f = m_h$ (pole mass)

$$l_i^{(n_f+1)} = l_i^{(n_f)} + \theta_{m2} a_s^2 A_{\text{qq,h}}^{\text{ns,(2)}} \otimes l_i^{(n_f)} + \dots$$

$$g^{(n_f+1)} = g^{(n_f)} + \theta_{m2} a_s^2 \left[A_{\text{gq,h}}^{\text{s,(2)}} \otimes q_s^{(n_f)} + A_{\text{gg,h}}^{\text{s,(2)}} \otimes g^{(n_f)} \right] + \dots$$

$$(h + \bar{h})^{(n_f+1)} = \theta_{m2} a_s^2 \left[A_{\text{hq}}^{\text{s,(2)}} \otimes q_s^{(n_f)} + A_{\text{hg}}^{\text{s,(2)}} \otimes g^{(n_f)} \right] + \dots$$

Buza et al. (96), [Bierenbaum, Blümlein, Klein (07)]

Corresponding N^m LO relation for the coupling constant at $\mu_r = m_h$

$$a_s^{(n_f+1)}(m_h^2) = a_s^{(n_f)}(m_h^2) + \sum_{n=1}^m c_n \left(a_s^{(n_f)}(m_h^2) \right)^{n+1}$$

Known to N^3 LO: $c_1 = 0$, $c_{2,3} \neq 0$

Chetyrkin, Kniehl, Steinhauser (97)

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Global fits: DIS, fixed-target Drell-Yan, W/Z and jets at the Tevatron

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- MRST/MSTW (UK), to NNLO: ..., MSTW(08) [01/09], α_s [05/09]

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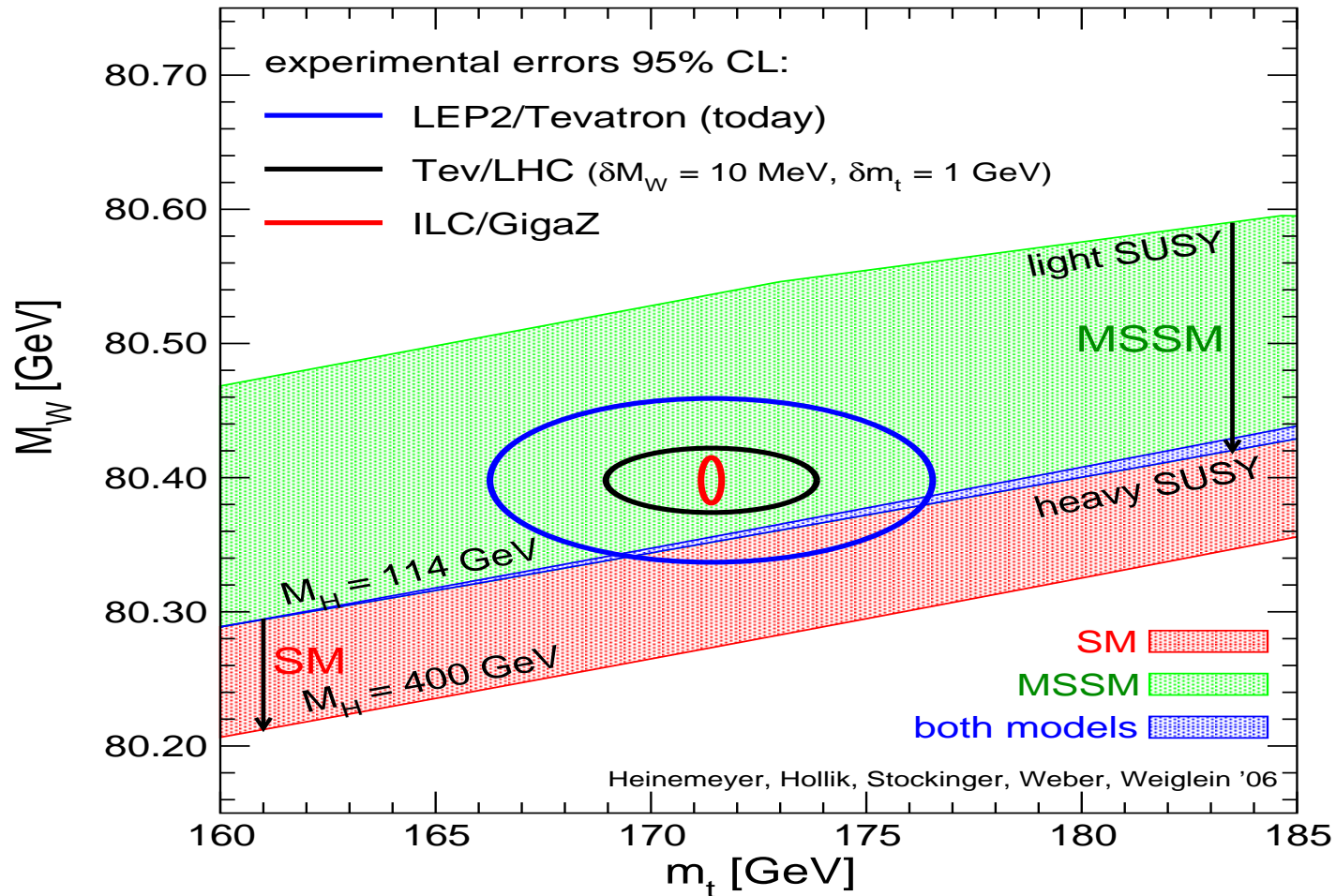
Some issues

- Treatment of large sets of (not necessarily consistent) data ($\Delta\chi^2$)
- Functional forms vs. neural networks. Pumplin [09/09]: relation to $\Delta\chi^2$
- Quantification of also theoretical uncertainties (cf. values of α_s)

Some very low uncertainties given for W/Z and $t\bar{t}$ production at the LHC ...

Precision physics at the LHC: W -boson mass

M_W as function of τ_μ , M_Z , ... can discriminate between theories



More work, also on partons, required to make the black ellipse happen